

# On Explanations to Observations

Leo Bertossi<sup>1</sup> and John Doe<sup>2</sup>

<sup>1</sup> Carleton University, bertossi@scs.carleton.ca

<sup>2</sup> II-Institute

**Abstract.** In this work we consider the problem of characterizing model-based diagnosis from ...

## 1 Abductive and Diagnosis Explanations

Consider a propositional language  $L(P)$ , where  $P$  is the set of all propositional variables or atoms. Consider also a subset  $A \subseteq P$  of abductible atoms. This set is determined a priori. The explanations to observations will be given as formulas in the sublanguage  $L(A)$ , while the observations can be represented as formulas of  $L(P)$ , that is, of the richer language.<sup>3</sup> A theory  $T$  of the domain under consideration, will be present.  $T$  will be a consistent set of formulas of  $L(P)$ .

**Definition 1.** An hypothesis for  $O$  with respect to  $T$  is a valuation  $v : A \rightarrow \{0, 1\}$  that can be extended to a valuation  $v' : P \rightarrow \{0, 1\}$  that satisfies  $T \cup O$ , and ....  $\square$

*Remark 1.* Notice from Definition 1 that for every valuation  $v : A \rightarrow \{0, 1\}$  there is a unique formula  $\varphi_v \in L(A)$  that is a conjunction of literals and that completely determines the valuation (in the sense that any valuation on  $A$  that satisfies  $\varphi_v$  coincides with  $v$ ). This formula can be defined as:  $\bigwedge_{p \in A} p^v$ , where  $p^v$  is defined by  $p$  if  $v(p) = 1$ , and  $\neg p$  if  $v(p) = 0$ .  $\square$

In  $Con_A(T \cup O)$ , we consider all logical consequences, in the weaker language of explanations  $L(A)$ , of the domain theory together with the observation. This set constrains all the possible worlds, in the sense that we will only accept those worlds (hypothesis) that satisfy  $Con_A(T \cup O)$ :

**Proposition 1.** Let  $v : A \rightarrow \{0, 1\}$ . The following are equivalent:

- (a)  $v$  is an hypothesis for  $O$  wrt  $T$ .
- (b)  $v \models Con_A(T \cup O)$ .  $\square$

*Remark 2.* We can see that  $v$  is an hypothesis for  $O$  wrt  $T$  iff  $\varphi_v \models Con_A(T \cup O)$ .

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<sup>3</sup> Note that in the literature, an observation is usually defined as a formula of  $L(P \setminus A)$ , i.e. without any abductible atoms (see [2])

An abductive explanation is usually defined (see Definition 1 above) as a formula that, together with the domain theory, implies the observation. We have obtained a valuation that satisfies all logical consequences, in the language  $L(A)$ , of the observation (together with the domain theory), i.e. we have a formula  $\varphi_v$  that implies all logical consequences of  $T \cup O$ . According to this, an hypothesis  $v$  can be considered in some sense as a form of abductive explanation.

*Example 1.* (see [6, example 2.2]) Among the sentences in the domain theory we have:

$$\begin{aligned} \text{and\_gate} \wedge \neg \text{abnormal}_1 &\rightarrow q, \\ \text{xor\_gate} \wedge \neg \text{abnormal}_2 &\rightarrow s, \\ \text{or\_gate} \wedge \neg \text{abnormal}_3 &\rightarrow u. \end{aligned} \tag{1}$$

That is, there are several types of abnormality of system components. Here the abductible atoms are  $\text{abnormal}_1$ , as in (1),  $\text{abnormal}_2$ ,  $\text{abnormal}_3$ . In front of an observation  $O$  for the system, we look for a diagnosis, more precisely, a minimal hypothesis for the failure detected through observation  $O$ . Such a diagnosis, or minimal hypothesis, could be  $\text{abnormal}_1 \wedge \neg \text{abnormal}_2 \wedge \neg \text{abnormal}_3$ . In this case,  $\{\text{abnormal}_1\}$  would be a minimal set of abnormalities.  $\square$

The formulas in Example 1 are written in **propositional logic**. A formula in **predicate logic** would look as follows:

$$\forall x \forall y \forall z (Gate(x) \wedge Input(x, y, z) \wedge \neg Abnormal(x) \rightarrow output(x, y, z) = y + z). \tag{2}$$

Every hypothesis  $\varphi$  of the form  $\bigwedge_{p \in A} \pm p$  determines a world. In that regard, it is a strong explanation for  $O$  wrt  $T$ .

**Proposition 2.** If  $\varphi = \bigwedge_{\Delta} p \wedge \bigwedge_{A \setminus \Delta} \neg p$  is a minimal hypothesis for  $O$  wrt  $T$ , then

$$T \cup O \cup \bigwedge_{A \setminus \Delta} \neg p \models \bigwedge_{\Delta} p. \tag{3}$$

**Proof:** If  $\varphi$  is minimal hypothesis, then  $\Delta$  is a minimal subset of  $A$  with  $T \cup O \wedge_{A \setminus \Delta} \neg p$  consistent (see Proposition 1). Then, for every  $p_0 \in \Delta$ :  $T \cup O \cup \bigwedge_{(A \setminus \Delta) \cup \{p_0\}} \neg p$  is inconsistent.

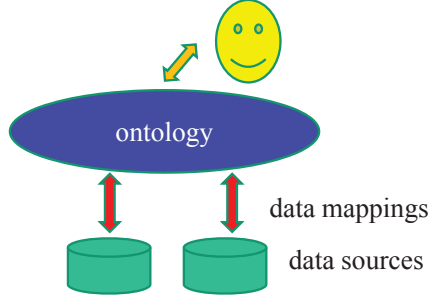
Then,  $T \cup O \cup \bigwedge_{A \setminus \Delta} \neg p \models p_0$ . This holds for every  $p_0 \in \Delta$ . In consequence,  $T \cup O \cup \bigwedge_{A \setminus \Delta} \neg p \models \bigwedge_{\Delta} p$ , and we obtain (3).  $\square$

### 1.1 The extreme case

Here we develop a framework for ontology-based data integration. In Figure 1 we can see that ...

The source for Figure 1 is in EPS format, but it can also be given as a PDF file, as follows (see Figure 2).

We consider relational tables, such as the one below:



**Fig. 1.** This is a nice picture.

Sales	Customer	Price	Article	Store
	peter	\$23	cd	cdWarehouse
	mary	\$30	book	chapters

## 2 The Case of Causal Theories

In this section we will consider mainly causal theories given by a set of definite propositional clauses.

Let  $T \subseteq L(P)$  be a set of definite propositional clauses.  $A \subseteq P$  is the set of atoms not appearing in any head of any clause in  $T$ . This is the set of abductible atoms. Notice that if there are atoms as facts in  $T$ , i.e. definite clauses with empty body, then these atoms are not abductible. If  $T$  is clausal, then there are no facts in it.

$$Circum(T \wedge O; A; P \setminus A) \quad (4)$$

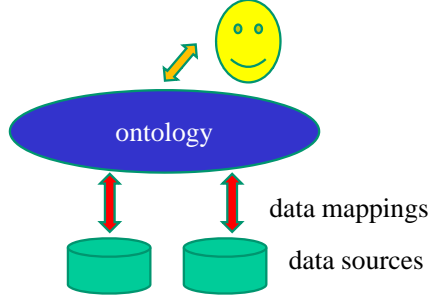
In (4) we have the propositional circumscription of the abductible atoms in  $T \wedge O$  with variable non-abductible atoms (see Appendix A).

In this document we have learn to:

1. Include figures.
2. To use labels to refer to equations, figures, examples, etc.
3. Format mathematical formulas.
4. Give a bibliography and introduce references to its entries.
5. Etc.

## References

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**Fig. 2.** This is also a nice picture.

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## A Propositional Circumscription

Fixed a propositional language  $L(P)$  and sets of atoms  $C, V \subseteq P$ , we can compare two truth valuations  $v, v' : P \leftarrow \{0, 1\}$ :  $v <^{C;V} v'$  iff

- i)  $\{p \in C \mid v(p) = 1\} \subset \{p \in C \mid v'(p) = 1\}$  and
- ii)  $v(p) = v'(p)$  for all  $p \in P \setminus (C \cup V)$ .

Now, given a propositional formula  $\varphi \in L(P)$ , we denote by  $Circum(\varphi; C; V)$  the class of  $<^{C;V}$ -minimal models of  $\varphi$ , and call it “the circumscription of the atoms in  $C$  in  $\varphi$  with variable atoms in  $V$  (and fixed atoms in  $P \setminus (C \cup V)$ )”.

This class can be axiomatized by a propositional formula (see [1, 5]).