## Neighbor Discovery with Directional Antennae

## Outline

- Introduction
- Deterministic Algorithms
- Randomized Algorithms
- Conclusions


## Introduction

## Goals

- Investigate the complexity of discovering neighbors in a setting of rotating antennae:
- What knowledge is required?
- How long does it take?
- What protocols are possible?
- How does it compare to the omnidirectional setting?


## Communication Models with Directional Antennae

- $(O, O)$ model: two sensors can communicate if they are within transmission range of each other,
- $(D, O)$ (respectively, $(O, D)$ ) model: the sender (respectively, receiver) must turn its antenna so as to reach its neighbor, and
- $(D, D)$ model: both sender and receiver must direct their antennae towards each other at the same time. This is the model we look at!


## Neighbor Discovery Process

- Usually entails the exchange of identities (e.g., MAC addresses) between two adjacent nodes.
- It will be sufficient to assume that this is a one step process whereby one sensor sends its identity and the other acknowledges by sending back its own.
- We assume that the sensors have distinct identities but their corresponding locations (i.e., $(x, y)$-coordinates) in the plane are not known to each other.
- There is a vertex coloring $\chi: V \rightarrow\{0,1, \ldots, c-1\}$


## Parameters of the Directional Antennae Model

- For simplicity, for each node $u$ assume an angle (or beam width) $\phi_{u}=\frac{2 \pi}{k_{u}}$, for some integer $k_{u}$.


Figure 1: An antenna at $u$ rotating counter-clockwise.

- Sensor network is synchronous


## Deterministic Algorithms

## Deterministic Algorithms

- Lower Bound: $\Omega\left(k_{u} k_{v}\right)$ time steps, for two sensors $u, v$ within communication range of each other.
- Upper Bounds

| Antenna at $u$ | Knowledge | Running Time | Theorems |
| :---: | :--- | :---: | :--- |
| $2 \pi / k$ | Identical | $O\left(k^{c-1}\right)$ | Theorem 1 |
| $2 \pi / k$ | Identical | $O\left(k(c \ln c)^{3}\right)$ | Theorem 2 |

Table 1: Theorems and running times of deterministic algorithms.

- Recall our basic assumption that there is a coloring $\chi: V \rightarrow\{0,1, \ldots, c-1\}$ of the vertices of the sensor network using $c$ colors.


## Lower Bound

- Consider two sensors $u, v$ within communication range of each other and respective antenna beam widths $\frac{2 \pi}{k_{u}}$ and $\frac{2 \pi}{k_{v}}$, respectively. If the sensors do not know each other's location then any algorithm for solving the neighbor discovery problem in the $(D, D)$ communication model requires at least $\Omega\left(k_{u} k_{v}\right)$ time steps.
- This is because, for a successful communication to occur each sensor must be within the beam of the other sensor's antenna at the same time. Since the sensors do not know each other's location they must attempt transmissions in all their respective sectors.


## Communicating Position

1. Sensors must be within range of each other.

Figure 2: Directional antennae in communicating position.
(a) An antenna at $u$ with sectors counted counterclockwise.
(b) Neighbor discovery for sensors $u, v$.

2. Directional antennae must be facing each other.

## Communication Failure of Deterministic Algorithms

- Not every deterministic algorithm would work!
- Example: Sensor $u$ employs delay $d_{u}=2$ and sensor $v$ delay $d_{v}=1$, under which sensors with directional antennae will never be able to communicate as illustrated in Figure 3.


Figure 3: Neighbor discovery for sensors $u, v$ is not possible.
(Basic) Antenna Rotation Algorithm (with Delay)

- For each sensor $u$, let $d_{u}$ be an integer delay parameter and $k$ be defined so that $\phi=\frac{2 \pi}{k}$

Algorithm 1: Antenna Rotation Algorithm $A R A\left(d_{u}, k_{u}\right)$
1 Start at a given orientation;
2 while true do
$3 \quad$ for $i \leftarrow 0$ to $d_{u}-1$ do
//For $d_{u}$ steps stay in chosen sector
$4 \quad$ Send message to neighbor(s);
$5 \quad$ Listen for messages from neighbor(s) (if any);
6 Rotate antenna beam one sector counter-clockwise;
//rotate by an angle equal to $\phi$

## A Simple Choice of Delays

- A simple theorem is the following:
- Theorem 1 Consider a set of sensors in the plane with identical antenna beam widths equal to $\phi=\frac{2 \pi}{k}$. For each sensor $u$ let the delay be defined by $d_{u}:=k^{\chi(u)}$. If each sensor $u$ executes algorithm $A R A\left(d_{u}, k\right)$ then every sensor in the network will discover all its neighbors in at most $k^{c-1}$ time steps.
- Running time can be improved by choosing delays appropriately!


## Improving on Delay

Theorem 2 Consider a set of sensors in the plane such that the antenna beam width of sensor $u$ is equal to $\phi=\frac{2 \pi}{k}$. Assume the sensor network is synchronous. Suppose that the delays $d_{u}$ at the nodes are chosen so that

1. $\operatorname{gcd}\left(k, d_{u}\right)=1$, for all $u$, and
2. if $u, v$ are adjacent then $\operatorname{gcd}\left(d_{u}, d_{v}\right)=1$.

If each sensor $u$ executes algorithm $A R A\left(d_{u}, k\right)$ then every sensor in the network will discover all its neighbors in at most $O\left(\left(k\left(\max _{u} d_{u}\right)^{3}\right)\right.$ time steps.

In addition, the delays $d_{u}$ can be chosen so that every sensor in the network will discover all its neighbors in at most $O\left(k(c \log c)^{3}\right.$ time steps.

In particular, this is at most $O\left((c \ln c)^{3}\right)$ time steps, if $k \in O(1)$.

## Proof (1/2)

- Without loss of generality assume that

1. $u$ and $v$ are in horizontal position and sensor $u$ is to the left of sensor $v$, and
2. that both antennae orientations are initially set to East.

- $u, v$ can communicate when $v$ 's antenna is facing West which is sector $\left\lfloor\frac{k}{2}\right\rfloor$.
- Since $\operatorname{gcd}\left(d_{u}, d_{v}\right)=1$, by Euclid's algorithm there exist integers $0<a_{u}<d_{u}, 0<a_{v}<d_{v}$ such that

$$
\begin{equation*}
a_{u} d_{u}=a_{v} d_{v}+1 \tag{1}
\end{equation*}
$$

- Lets look at sensor $u$ first. After $d_{u} k$ steps sensor $u$ will be in its starting position and, clearly, the same applies for any time duration that is a multiple of $d_{u} k$. Thus sensor $u$ is in its initial position (facing East) at time $j a_{u} d_{u} k$, for any $j>0$.


## Proof (2/2)

- Multiply both sides of Equation $a_{u} d_{u}=a_{v} d_{v}+1$ by $j k$ to obtain $j a_{u} d_{u} k=j a_{v} d_{v} k+j k$
- So at time $t=j a_{u} d_{u} k$ sensor $u$ is facing East. If there is a $j$ such that $j k=\left\lfloor\frac{k}{2}\right\rfloor d_{v}+r$ for $0 \leq r<d_{v}$, then sensor $v$ is facing West and therefore the sensors $u, v$ can discover each other.
- Find a $j$ such that,

$$
\begin{equation*}
j k \leq\left\lfloor\frac{k}{2}\right\rfloor d_{v}<j k+k \tag{2}
\end{equation*}
$$

which means that $j k+k=\left\lfloor\frac{k}{2}\right\rfloor d_{v}+r$, with $r \leq k<d_{v}$.

- A simple modification of the proof will prove the result when the two sensors are not necessarily on a horizontal line.
- \# of rotations required is $j a_{u} d_{u} k$, where $j$ satisfies Inequality (2).


# Randomized 

Algorithms

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## Randomized Neighbor Discovery Algorithms

- Upper Bounds

| Antenna at $u$ | Knowledge | Running Time | Theorems |
| :---: | :--- | :---: | :--- |
| $2 \pi / k$ | Identical | $k n^{O(1)}$ | Theorem 3 |
| $2 \pi / k$ | Identical | $O\left(k^{2} \log n\right)$ | Theorem 4 |
| $2 \pi / k_{u}$ | $\max _{u} k_{u} \leq k$ | $O\left(k^{4} \log n\right)$ | Theorem 5 |

Table 2: Theorems and running times of randomized algorithms.

## Randomized Neighbor Discovery Algorithm (1/4)

> Algorithm 2: Randomized Antenna Rotation Algorithm $\operatorname{RARA}\left(d_{u}, k\right)$

1 Select $d_{u} \leftarrow R A N D O M P R I M E(k . . R)$;
2 Execute $A R A\left(d_{u}, k\right)$;

Theorem 3 Consider a set of sensors in the plane such that the antenna beam width of sensor $u$ is equal to $\phi=\frac{2 \pi}{k}$. Assume the sensor network is synchronous. If each sensor $u$ executes algorithm $\operatorname{RARA}(k ; R)$, where $R=n^{O(1)}$ and $n$ is an upper bound on the number of sensors, then every sensor in the network will discover all its neighbors in at most $k n^{(1)}$ expected time steps, with high probability.

## Randomized Neighbor Discovery Algorithm (2/4)

- For every node $u$, let $N(u)$ denote the neighborhood of $u$ and $\operatorname{deg}(u)$ the degree of $u$.
- Let $D=\max _{u} \operatorname{deg}(u)$ denote the maximum degree of a node of the sensor network.
- By the prime number theorem, the number of primes $\leq R$ and $>k$ is approximately equal to $\frac{R}{\ln R}-\frac{k}{\ln k}$ and therefore the probability that the primes chosen by two adjacent nodes, say $u$ and $v$, are different is $1-\frac{1}{\frac{R}{\ln R}-\frac{k}{\ln k}}$.
- Let $E_{u}$ be the event that the prime chosen at $u$ is different from all the primes chosen by its neighbors.


## Randomized Neighbor Discovery Algorithm (3/4)

- It is easily seen that

$$
\begin{aligned}
\operatorname{Pr}\left[E_{u}\right] & =1-\operatorname{Pr}\left[\neg E_{u}\right] \\
& =1-\operatorname{Pr}\left[\exists v \in N(u)\left(d_{u}=d_{v}\right)\right] \\
& \geq 1-\sum_{v \in N(u)} \operatorname{Pr}\left[d_{u}=d_{v}\right] \\
& \approx 1-\operatorname{deg}(u) \frac{1}{\frac{R}{\ln R}-\frac{k}{\ln k}} \\
& \geq 1-D \frac{1}{\frac{R}{\ln R}-\frac{k}{\ln k}} .
\end{aligned}
$$

## Randomized Neighbor Discovery Algorithm (4/4)

- Similarly, we can prove that

$$
\begin{aligned}
\operatorname{Pr}\left[\bigcap_{u} E_{u}\right] & =1-\operatorname{Pr}\left[\bigcup_{u} \neg E_{u}\right] \\
& \geq 1-\sum_{u} \operatorname{Pr}\left[\neg E_{u}\right] \\
& \geq 1-n D \frac{1}{\frac{R}{\ln R}-\frac{k}{\ln k}} \\
& \geq 1-\frac{1}{n}
\end{aligned}
$$

- By choosing $R$ in $n^{O(1)}$ and recalling that $D \leq n$ we see that all the primes chosen by all the nodes in the network are pairwise distinct, with high probability.


## Additional Algorithms

- Theorem 4 Consider a set of $n$ sensors in the plane with identical antenna beam width equal to $\phi=\frac{2 \pi}{k}$. Assume the sensor network is synchronous. There is an algorithm so that every sensor in the network will discover all its neighbors in at most $O\left(k^{2} \log n\right)$ expected time steps, with high probability.
- Theorem 5 Consider a set of $n$ sensors in the plane such that sensor $u$ has antenna beam width equal to $\phi_{u}=\frac{2 \pi}{k_{u}}$. Assume the sensor network is synchronous and that an upper bound $k$ is known to all sensors so that $\max _{u} k_{u} \leq k$. There is an algorithm so that every sensor in the network will discover all its neighbors in at most $O\left(k^{4} \log n\right)$ expected time steps, with high probability.


## Conclusions

- Interesting Problem: Efficiency of broadcasting

1. in the single channel UDG model, i.e., there is a single send/receive channel and multiple transmissions on the same node produce packet collisions, and
2. a link between two sensors $u, v$ exists if and only if $d(u, v) \leq 1$.

- If broadcasting time with omnidirectional antennae without collisions is $B$ then the result of Theorem 3 indicates that broadcasting in the directional antennae model can be accomplished in time $O\left(B(c \ln c)^{3}\right)$, where $c$ is the number of colors of a vertex coloring of the sensor network. The main question arising is whether we can improve on this time bound when using directional antennae.


## Additional Work

- J. Du, E. Kranakis, O. Morales Ponce, S. Rajsbaum, Neighbor Discovery in a Sensor Network with Directional Antennae. In proceedings of Algosensors 2011, Saarbruecken, Germany, September 08-09, 2011.

