Maximum Weight Independent Set

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Problem Statement

Input: An undirected graph G = (V, E) where each vertex has a positive weight $w : V \to \Re^+$.

Output: A subset $S \subseteq V$ such that

(a) Independent: No two vertices in S are connected by an edge (b) Maximality: Among all such independent sets, S has the maximum total weight, where $wt(S) = \sum_{s \in S} w(s)$. NP-Hardness Results:

- Decision version of MWIS problem is NP-Hard, both for unweighted and weighted graphs
- NP-Hard for cubic-graphs
- NP-Hard to approximate within a factor of $n^{1-\epsilon}$, for any $0 < \epsilon < 1$, [Hastad 2001]
- Can be solved in linear time for trees, bounded tree-width graphs, ...

A Greedy Randomized Algorithm

Consider the following straightforward greedy algorithm for approximating MWIS of an undirected weighted graph G = (V, E).

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Input: Graph G = (V, E) on n vertices with w : V \to \Re^+.

Output: A set S that approximates the MWIS.

Step 1: Compute an ordering of vertices in V by using a uniform at random permutation. WLOG, let the ordering be (v_1, \ldots, v_n).

Step 2: S \leftarrow \emptyset

Step 3: For each vertex v_i in order do

If none of its neighbors are in S, S \leftarrow S \cup \{v_i\}

Step 4: Return S
```

Observations on Greedy Algorithm

Observation 1

The set of vertices in S forms an independent set of G.

Observation 2

The algorithm is oblivious to weights of vertices.

Observation 3

The algorithm runs in O(|V| + |E|) time.

Observation 4

Let $v \in V$ be an arbitrary vertex of G and let its degree be deg(v). Then

$$Pr(v \in S) \ge \frac{1}{deg(v) + 1}$$

where probability is over the random orderings of vertices in V.

Proof: Vertex v is placed in S if none of v's neighbors come before v in the ordering.

This occurs with probability = $\frac{1}{deg(v)+1}$

Moreover, it is possible that a neighbor w of v comes before v in the ordering, but it wasn't placed in S as one of w's neighbor (other than v) was in S.

Thus, $Pr(v \in S) \ge \frac{1}{deg(v)+1}$

Observations on Greedy Algorithm (contd.)

Observation 5

$$E\left[\sum_{v\in S} w(v)\right] \ge \sum_{v\in V} \frac{w(v)}{deg(v)+1}$$

Proof: Set up indicator random variable X_v for each vertex v, where

$$X_v = \begin{cases} 1, \text{ if } v \in S \\ 0, \text{ otherwise} \end{cases}$$

Note that $E[X_v] = Pr(X_v = 1) = Pr(v \in S) \ge \frac{1}{\deg(v)+1}$

Now

$$E\left[\sum_{v \in S} w(v)\right] = E\left[\sum_{v \in V} X_v w(v)\right]$$
$$= \sum_{v \in V} E\left[X_v w(v)\right] = \sum_{v \in V} w(v) E\left[X_v\right]$$
$$\geq \sum_{v \in V} \frac{w(v)}{\deg(v) + 1}$$

Remarks on Observation 5

Remark 1

If max degree of any vertex in
$$G$$
 is $\leq \Delta$, $E\left[\sum_{v \in S} w(v)\right] \geq \frac{1}{\Delta + 1} \sum_{v \in V} w(v)$

Remark 2

Let I be any independent set of G. Then

$$E\left\lfloor\sum_{v\in S} w(v)\right\rfloor \ge \sum_{v\in V} \frac{w(v)}{\deg(v)+1} \ge \sum_{v\in I} \frac{w(v)}{\deg(v)+1}$$

Remark 3

Let I^* be a max weight independent set of G. Then $E\left[\sum_{v\in S} w(v)\right] \geq \sum_{v\in I^*} \frac{w(v)}{deg(v)+1}$

Improvements

Recap

Step 1:	Compute an ordering of vertices in V by using a uniform at random permutation. WLOG, let the ordering be (v_1, \ldots, v_n) .
Step 2:	$S \leftarrow \emptyset$
Step 3:	For each vertex v_i in order do
	If none of the neighbors of v_i are in $S, S \leftarrow S \cup \{v_i\}$
Step 4:	Return S

Remark 3 Let I^* be a max weight independent set of G. Then $E\left[\sum_{v \in S} w(v)\right] \ge 1 \cdot \sum_{v \in I^*} \frac{w(v)}{deg(v)+1}$

The value 1 is called the *recoverable value* and we will see a method of Feige and Reichman [2014] to get a better value.

Max Recoverable Value

The maximum value of r in the expression $E\left[\sum_{v \in S} w(v)\right] \ge r \cdot \sum_{v \in I} \frac{w(v)}{deg(v)+1}$ should be strictly less than 4 (unless **P=NP**).

Proof: Note that for the cubic graphs (i.e. graphs where each vertex has degree 3), the MWIS problem is **NP**-Hard. This also holds for unweighted cubic graphs.

If
$$r = 4$$
 in $E\left[\sum_{v \in S} w(v)\right] \ge r \cdot \sum_{v \in I^*} \frac{w(v)}{deg(v)+1}$, then we have that $E\left[\sum_{v \in S} w(v)\right] \ge r \cdot \sum_{v \in I^*} \frac{w(v)}{4} = \sum_{v \in I^*} w(v).$

Thus we may obtain an optimal MWIS in polynomial time for cubic graphs. This is only feasible if **P=NP**.

FR14 Algorithm

```
Input: Graph G = (V, E) on n vertices with w : V \to \Re^+.
Output: A set S that approximates the MWIS.
       Step 1: Compute an ordering of vertices in V by using a uniform at
                random permutation. WLOG, let the ordering be (v_1, \ldots, v_n).
       Step 2: F \leftarrow \emptyset
       Step 3: For each vertex v_i in order do
                If at most one of the neighbors of v_i has been seen so far.
                F \leftarrow F \cup \{v_i\}
       Step 4: Compute a MWIS S of the induced graph on F.
       Step 5: Return S
```

Observation 1

The induced graph on F obtained at the end of Step 3 in the FR14-Algorithm is a forest.

Proof: Consider any cycle C in G.

Let v be the last vertex in C in the ordering in Step 1.

Note that $v \notin F$ as both neighbors of v have been seen before v.

Thus, the induced graph of F is acyclic.

Observations on FR14 Algorithm (contd.)

Observation 2

MWIS of the induced graph on F obtained in Step 3 in the FR14-Algorithm can be computed in linear time.

Proof: Think of dynamic programming on a rooted tree.

Consider a vertex v and let I(v) represents the weight of the MWIS of the subtree rooted at v.

MWIS for the subtree rooted at v is one of the following two types:

$$\begin{split} & \text{Case 1: } v \in \text{MWIS: } I(v) = wt(v) + \sum_{x \in \{\text{grandchild of } v\}} I(x) \\ & \text{Case 2: } v \not\in \text{MWIS: } I(v) = \sum_{x \in \{\text{child of } v\}} I(x) \end{split}$$

Analysis of FR14 Algorithm

Claim

The weight of the independent S returned by the FR14-Algorithm satisfies $E\left[\sum\limits_{v\in S} w(v)\right]\geq 2\cdot \sum\limits_{v\in I^*} \frac{w(v)}{deg(v)+1},$ where I^* is a maximum weight independent set of G.

Proof: Let *I* be an independent set of *G*.

Observe that $I \cap F$ is an independent set of induced graph of F.

Since S is a MWIS of the induced graph of F (see Step 4), we have

$$E\left[\sum_{v\in S} w(v)\right] \ge E\left[\sum_{v\in I\cap F} w(v)\right]$$

Consider a vertex $v \in I$.

When does
$$v$$
 makes contribution to the sum $E\left[\sum_{v\in I\cap F}w(v)\right]$?

When does v makes contribution to the sum $E\left[\sum_{v \in I \cap F} w(v)\right]$?

Only if, it is included in *F*.

 $Pr(v \in F) = \frac{2}{deg(v)+1}$ (it has to be either the 1st or the 2nd vertex among its neighbors in the permutation ordering to be included in F)

We have $E\left[\sum_{v\in I\cap F} w(v)\right] = E\left[\sum_{v\in I} w(v)X_v\right]$, where X_v is indicator r.v. stating whether $v\in F$ or $v\notin F$.

Thus,
$$E\left[\sum_{v\in S} w(v)\right] \ge E\left[\sum_{v\in I} w(v)X_v\right] = \sum_{v\in I} w(v)E\left[X_v\right] = \sum_{v\in I} w(v)\frac{2}{\deg(v)+1}$$

Observe that we can replace the independent set I by the MWIS I^* of G, and we have $E\left[\sum_{v\in S} w(v)\right] \geq 2\cdot \sum_{v\in I^*} \frac{w(v)}{\deg(v)+1}$

References

References

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- 3. Tim Roughgarden, Beyond Worst Case Analysis Lecture Notes, 2014.