Statistical Natural Language Processing Source: Richard Khoury (*Laval U.*) Instructor: B. John Oommen

Objectives

- Overview of standard NLP techniques
- Build a question-answering AI
 - Text Representation → Classification → Information Retrieval → Question-Answering



Natural Language Processing

- Branch of AI that makes computer agents that can "use" human languages
 - Automated translation
 - Automated summary
 - Text classification & clustering
 - Text generation
 - Speech understanding & generation
 - Author recognition

- Information retrieval & question-answering
- Sentiment detection
- Event detection in social media
- Spam filtering
- Digital assistants

Etc.

Statistical NLP

- Uses corpus of language to learn typical usage probabilities
- Develop algorithms that make best (e.g. most probably correct) decision
- Other alternatives:
 - Neural network NLP
 - Rule-based NLP
 - Fuzzy NLP

Statistical NLP

- Modelling language use with probabilities
 "But I don't count probabilities when I talk!"
 Actually, you do...
- Zipf's law of least effort
 - People try to do the minimum amount of effort
 - But they are smart about it
 - If more effort now means much less effort later on, they use effort now
 - So what is the effort to minimize when using language?

Imagine two people
Tina talks all the time
Lester listens all the time



- What's the effort in talking?
- Choosing the words to say with the correct meaning
- Least effort = one word with all meanings

- What's the effort in listening?
- Choosing the correct meaning of the words heard
- Least effort = one meaning per word



- Tina and Lester have exactly opposite least efforts
- But in real life, no one talks or listens all the time
- Natural languages evolve to balance out effort of talking and listening

- Natural language have:
 - A few words that are used a lot and have flexible meanings
 - A lot of words that have precise meanings and are rarely used



Zipf-Mandelbrot Law

- Count frequency of each word in a NL corpus of any language
- Plot frequency rank × frequency count
- Will create a power-law distribution
 - $frequency = P(rank + \rho)^{-B}$
 - English: $P = 10^{5.4}$, $\rho = 100$, B = 1.15
- Foundation of statistical NLP!

Zipf-Mandelbrot Law (example)

- Two articles from The Charlatan, 8 January 2014
- "Sprott unveils new Master of Accounting program"
 - 331 words
 - 174 distinct words
 - 122 words used once
- "CUSA Midterm Review: have they kept their campaign promises"
 - 611 words
 - 250 distinct words
 - 145 words used once



Zipf-Mandelbrot Law (example)

"Sprott unveils new Master of Accounting program"

Word	Frequency
the	22
to	13
program	11
said	9
of	9
Herauf	7
а	7
students	6
in	6
professional	6
by	5

"CUSA Midterm Review: have they kept their campaign promises"

Word	Frequency
the	35
to	24
of	19
and	18
а	16
CUSA	15
is	11
it	10
Odunayo	9
in	9
students	8

Zipf-Mandelbrot Law

- A few words that are used a lot and have flexible meanings
 - 10 most frequent words are 30% of each article
 - The (10 definitions), to (14 definitions), of (12 definitions), and (15 definitions)
 - Short list of stopwords that can be filtered out
- A lot of words that have precise meanings and are rarely used
 - More than half the words used only once
 - Includes: campaign, faculty, graduate, administration, vegetables, president, education,...
- And some exceptions!
 - Program, students, professional, CUSA, Herauf, Odunayo

Bag of Words

- The "word + frequency" representation of text is the bag of words model
 - Assumes words are independent of each other
 - Assumes word order does not matter
 - Sometimes enhanced by including collocations: pairs of words used together (ex.: wall street, make up)
- Creates the document vector

Word	Frequency
the	22
to	13
program	11
said	9
of	9
Herauf	7
а	7
students	6
in	6
professional	6
by	5

- Predicting the topic of a document given its words
 - Common task in NLP
 - Spam filtering, genre classification (libraries, websites), reading level assessment (education), etc.
 - Active research area
- Supervised learning: Trained on correct examples
 - Training corpus of classified documents
 - Al learns features useful for classification

Training:

- 1. Build word list of entire corpus
- 2. Build bag of word for each category

Example

5 Charlatan articles each on sports & arts

 "The best and brightest films of December", "Aboriginal Service Centre hosts storytelling night", "Author talks queerness in art history", "Monthly concert series welcomes minors", "Venus Envy hosts second dirty art show", "Midseason review: Women's hockey", "Midseason review: Women's basketball", "Midseason review: Men's basketball", "Men's hockey team edges York in exhibition play", "Killeen practices with the Senators"

2418 instances of 1233 words

	Art	Show	Films	Film	Ottawa	Years	Said	Ravens	Game	Team
Sports	0	1	0	0	4	1	26	32	28	17
Arts	24	11	8	6	9	1	30	0	2	2

- Testing
 - 1. Build word vector of new document d
 - Compute cosine similarity with vector of each category c

3. Classify into most similar category

	Art	Show	Films	Film	Ottawa	Years	Said	Ravens	Game	Team
Sports	0	1	0	0	4	1	26	32	28	17
Arts	24	11	8	6	9	1	30	0	2	2

- Can we do better?
 - We already filter out stopwords (determiners, articles, and pronouns)
- Group together different forms of same word
 - Word stemming: remove prefix, suffix and ending of words, keeping only stem or root
- Add words missing from some documents
 - Expansion: Add synonyms, dictionary definitions, collocations, of keywords
 - Especially useful for short texts (e.g. query expansion)
- Weight words
 - Tell apart significant and insignificant words

TF×IDF

- Recall Zipf-Mandelbrot law
 - Significant words are generally rare words with unusually high occurrence in a document
- So we need the general occurrence
 - Proportion of documents d in corpus C that contain word w
 - Inverse Document Frequency $idf(w, C) = \log \frac{|C|}{|w \in C|}$
- And the occurrence in document d_i • Term Frequency $tf(w, d_i) = \frac{|w \in d_i|}{|d_i|}$

TF×IDF

	Art	S	how	Films	F	ilm	C	Ottawa	Y	'ears	S	Said	R	lavens	Ģ	Same	Т	eam
Sports	0	1		0	С)	4		1	1		26	32		2	28		7
Arts	24	11	1	8	6	;	9)	1		3	0	0		2	2		
			C.C.		Ā													
	Art		Show	Film	S	Film		Ottawa	l	Years	5	Sai	d	Ravens	5	Game)	Team
Sports	0		0.15	0		0		0.61		0.15		4.00)	13.32		4.30		2.61
Arts	9.00)	1.52	3.00)	2.25)	1.24		0.13		4.16		3 0		0.28		0.28

- Computation notes:
- |Sports| = 1147, |Arts| = 1271, |Corpus| = 3
 - Assume a 3rd category that is 0 everywhere
 - Otherwise log(2/2) = 0
 - Not an issue for real corpora
- TF×IDF values ×10⁻³

Naïve Bayes Classification

Most popular alternative to bag of words

 $P(C|d) = P(C|w_{d,0}, \dots, w_{d,N-1}) = P(C) \prod_{i=0}^{N-1} P(w_{d,i}|C)$

- *P*(*C*)
 - Proportion of documents per category in the corpus
- $P(W_{d,i}|C)$
 - Proportion of word w_i per category in the training corpus
- Classify in most probable category

Naïve Bayes Classification

 $P(C|d) = P(C|w_{d,0}, \dots, w_{d,N-1}) = P(C) \prod_{i=0}^{N-1} P(w_{d,i}|C)$

• $P(w_i|C)$ computed from observation in corpus

- What if word w_i never occurs in a category?
 - Multiplication by zero!
 - Given most words occur rarely (recall Zipf-Mandelbrot), this will happen a lot
- Probability smoothing: decreasing probability of observed words and distributing it to unobserved words

- Retrieve text documents that contain a requested (query) information in a corpus
- How?
 - Text classification (BoW or NB) identifies document topics and measures similarity of documents
 - IR Query = short document
 - Find most similar documents in corpus!

Massive and unstructured document corpus

- Institutional databases, Internet collections, Wikipedia
- How to speed up IR search?
- Inverted index
 - Database with words as keys and documents as attributes
 - Faster retrieval of documents using keywords
- Positional information
 - Position of words within document
 - Easier to retrieve collocations
 - Find documents with multiple keywords in proximity of each other

- How to find and rank relevant documents?
- Vector Space Model (VSM)
 - Most widely used IR method
 - Each document's N-word-long bag-of-words is a vector in N-dimensional space
 - Cosine distance between query BoW and each document BoW
 - Most similar documents are most relevant
 - Can be made more accurate using TF×IDF, etc.
 - Inverted index useful to quickly find documents for cosine



- Problem: short queries
 - Might not use same words as documents
- Word co-occurrence
 - Some words are frequently used in the same context
 - If query has one word and document has the other, VSM won't match them

	d_0	d_1	d_2	<i>d</i> ₃	d_4	d_5	d_6	<i>d</i> ₇	d_8	d_9
Art	7	1	15	0	0	0	0	0	0	0
Show	9	1	0	1	0	0	1	0	0	0
Films	0	0	0	0	8	0	0	0	0	0
Ravens	0	0	0	0	0	7	7	5	6	9
Game	0	0	0	0	2	0	8	5	11	4
Team	0	0	0	0	2	3	3	3	2	3

- Solution: Latent Semantic Indexing (LSI)
 - Vector space with latent semantic dimensions
 - Co-occurring words projected into same dimension
 - Non-co-occurring words projected into orthogonal dimensions
- Dimensionality reduction
 - VSM has N dimensions for N words
 - LSI has k dimensions for k semantic meanings
 - *k* << *N*

- Singular Value Decomposition (SVD)
 - LSI computation method
 - Represents matrix A (in word space) as matrix (in semantic space)
 - Matrix A is the document/word matrix with N words and d documents
 - Matrix is the semantic space matrix with N words and d documents
 - Sorts dimensions by importance
 - Makes it easy to reduce by cropping less useful dimension

Visualizing SVD transformation



Documents

Semantic space

- Reduced Singular Value Decomposition
 - One of many SVD algorithms
 - 1. Document/word matrix **A** with *N* words/dimensions
 - 2. Decompose matrix as $\mathbf{A}_{N \times d} = \mathbf{U}_{N \times d} \mathbf{\Sigma}_{n \times n} (\mathbf{V}_{d \times n})^T$
 - Rotates dimensions to orientation of largest variation
 - Matrix Σ contains ordered singular values measuring variation of each dimension

3. Keep *k* dimensions in Σ where Σ value > threshold

- Keep only k dimensions with noteworthy variations
- $\hat{\mathbf{A}}_{N \times d} = \mathbf{U}_{N \times k} \mathbf{\Sigma}_{k \times k} (\mathbf{V}_{d \times k})^T$
- 4. Rescale to *k* dimensions
 - Word/document matrix: $\mathbf{A}_{N \times d} \rightarrow \mathbf{\Sigma}_{k \times k} (\mathbf{V}_{d \times k})^T = \mathbf{A'}_{k \times d}$
 - Document or IR query: $\mathbf{q}_{N \times 1} \rightarrow (\mathbf{U}_{N \times k})^T \mathbf{q}_{N \times 1} = \mathbf{q}'_{k \times 1}$

Reduced SVD computation:

 $\mathbf{A}_{N \times d} = \mathbf{U}_{N \times d} \boldsymbol{\Sigma}_{n \times n} (\mathbf{V}_{d \times n})^T$

- U is the eigenvectors of AA^T sorted by decreasing order of eigenvalue
- V is the eigenvectors of A^TA sorted by decreasing order of eigenvalue
- Σ Is the square roots of the eigenvalues sorted by decreasing order in a diagonal matrix

Reduced SVD example:

	A = A = Films Ravens Game Team	$\begin{array}{ccc} d_0 & d_1 \\ 7 & 1 \\ 9 & 1 \\ 0 & 0 \\ s & 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{array}$	$\begin{array}{ccc} d_2 & d_3 \\ 15 & 0 \\ 0 & 1 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{array}$	d_4 d_4 d_6 0 d_8 0 d_2 2 d_2	$\begin{array}{ccc} l_5 & d_6 \\ 0 & 0 \\ 0 & 1 \\ 0 & 0 \\ 7 & 7 \\ 0 & 8 \\ 3 & 3 \end{array}$	$\begin{array}{cccc} d_7 & d_8 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 5 & 6 \\ 5 & 11 \\ 3 & 2 \end{array}$	$\begin{pmatrix} d_{9} \\ 0 \\ 0 \\ 0 \\ 0 \\ 9 \\ 4 \\ 3 \end{bmatrix}$			À					
K								. [130 1	6 105	90	0	9 0	0	0 1
									16	2 15	1 0	0	1 0	0	0
r275 64 0 0 0 0 🔨 🔨							/		105 1	5 225	0 0	0	0 0	0	0
64 84 0 7 8 3	\searrow						K		9	1 0	1 0	0	1 0	0	0
0 0 64 0 16 16			204.40	70.05	(122		1 20]	AT A -	0	0 0	0 72	6	22 16	26	14
$AA^{-} = \begin{bmatrix} 0 & 7 & 0 & 240 & 183 & 96 \end{bmatrix}$	Ligenvalues	- [455.58	294.40	/0.95	64.32	50.45	1.30]	$\mathbf{A} \cdot \mathbf{A} =$	0	0 0	06	58	58 44	48	72
/ 0 8 16 183 230 77									9	1 0	1 22	58	123 84	136	104
LO 3 16 96 77 44									0	0 0	0 16	44	84 59	91	74
								-	0	0 0	0 26	48	136 91	161	104
									0	0 0	0 14	72	104 74	104	106
									0.02	0.54	0.01	0.82	0.07	0.02	
		21 24 0		0	0	0 7			0.00	0.07	0.00	0.08	0.01	0.00	
		21.34 0	1 C O	0	0	0			0.01	0.84	0.00	-0.54	-0.04	-0.0	
0.03 - 0.29 - 0.01 - 0.95 - 0.07 - 0.00	¥	0 17.		0	0	0		¥	0.00	0.02	0.00	0.12	0.01	0.00	
$= \begin{bmatrix} 0.04 & 0.00 & -0.37 & 0.04 & 0.43 & -0.23 \\ 0.69 & 0.01 & 0.37 & 0.05 & 0.49 & -0.37 \end{bmatrix}$	$\Sigma =$		0.42	8.02	0	0		V =	0.10	-0.00	_0.92	-0.04	0.30	0.03	
0.05 0.01 0.03 0.03 0.49 -0.37				0.02	710	0			0.27	0.00	0.01	0.00	-0.02	-0.1	
0.00 0.01 -0.07 0.03 0.00 0.01				0	0	114			0.32	_0.00	-0.01	-0.03	0.14	-0.79	9
0.01 0.07 0.03 0.02 0.70 -				0		1.1.1			0.56	-0.01	0.15	-0.01	-0.55	0.28	
									0.46	-0.01	-0.23	-0.05	0.38	0.51	

U

Reduced SVD example: Rescaling into 2D
 Simply crop matrices to k = 2!

Π_	[0.01	-0.96	0.00	0.29	-0.02	0.00
0 –	L0.03	-0.29	-0.01	-0.95	0.07	0.00

r21 34	0 1		0.02	ן 0.54
$\Sigma = \begin{bmatrix} 21.5 \\ 0 \end{bmatrix}$	1716		0.00	0.07
	17.10-	to best to	0.01	0.84
			0.00	0.02
		V —	0.10	0.00
			0.27	0.01
			0.52	0.00
			0.36	-0.01
			0.56	-0.01
			-0.46	-0.01

Rescaling word/document matrix to 2D

 $\mathbf{A'}_{k \times d} = \mathbf{\Sigma}_{k \times k} (\mathbf{V}_{d \times k})^T$

 $= \begin{bmatrix} 21.34 & 0 \\ 0 & 17.16 \end{bmatrix} \begin{bmatrix} 0.02 & 0.00 & 0.01 \\ 0.54 & 0.07 & 0.84 \end{bmatrix} \begin{bmatrix} 0.00 & 0.10 & 0.27 & 0.52 & 0.36 & 0.56 \\ 0.00 & -0.01 & 0.00 & -0.01 \end{bmatrix} \begin{bmatrix} 0.02 & 0.00 & 0.01 \\ 0.54 & 0.07 & 0.84 \end{bmatrix} \begin{bmatrix} 0.02 & 0.00 & 0.10 \\ 0.02 & 0.00 & -0.01 \end{bmatrix} \begin{bmatrix} 0.02 & 0.06 & 0.46 \\ 0.00 & -0.01 & -0.01 \end{bmatrix} = \begin{bmatrix} d_0 & d_1 & d_2 & d_3 & d_4 & d_5 & d_6 & d_7 & d_8 & d_9 \\ Dimension 1 & 0.43 & 0.00 & 0.21 & 0.00 & 2.13 & 5.76 & 11.10 & 7.68 & 11.95 & 9.82 \\ Dimension 2 & 9.27 & 1.20 & 14.41 & 0.34 & 0.00 & -0.17 & 0.00 & -0.17 & -0.17 \end{bmatrix}$

• Rescaling IR queries to 2D $\mathbf{q}'_{k \times 1} = (\mathbf{U}_{N \times k})^T \mathbf{q}_{N \times 1}$



- SVD example: Visualization into 2D
 - Easy to find relevant documents for IR using Euclidean distance, cosine similarity, clustering,



Question Answering

- IR: query is words, retrieved info is relevant documents
- QA: query is question, retrieved info is answer found in relevant documents
- Requires additional steps from IR
 - Question analysis
 - Precisely determine what is asked for
 - Passage retrieval
 - Find texts likely to contain answers
 - Answer selection
 - Pick the best answer from the passages
 - Answer presentation
 - Give the answer to the user

- All needs to understand what user is asking about
 - What type of information?
 - About what subject?
- Problem: Query is only a few words (1 to 4 on average after stopword removal)

- Question type or Answer type
- What type of information is asked for?
 - E.g.: person, location, date, quantity, definition, yes/no, ...
 - No standard type list
 - Not as simple as it sounds
 - "Who was Napoleon?" vs. "Who defeated Napoleon?"
 - "What French emperor was defeated at Waterloo?" vs. "What year was a French emperor defeated at Waterloo?" vs. "What was the Battle of Waterloo?"

- Question type classification approaches
- Bayesian classification $P(C|q) = P(C|w_0, ..., w_{N-1}) = P(C) \prod_{i=0}^{N-1} P(w_i|C)$
 - Where P(C) is probability of a question type and P(w_i|C) is probability of a query word given a question type
- Lexicon sorting keywords by query types
 - Classify question based on its keywords
 - Recall Zipf's law: a few words are used most often; lexicon can work with only 100 common words
- Pattern matching
 - "what {is|are} <noun phrase>" → Definition
 - Can match entire query or a subset of words (the informer span)

- Dealing with few words: picking out important information
- Named Entity Recognition (NER)
 - A proper named used in the query is usually very important
 - Compare words to database of NE (can be constructed from Wikipedia)

- Dealing with few words: inferring more words
- Query expansion
 - Problem: "Who killed Abraham Lincoln?" and "President Lincoln was assassinated by John Wilkes Booth." have only one word in common
 - Add more words in query to help IR
- Add synonyms
 - Kill \rightarrow murder, assassinate, take down, defeat
 - Problem: some words have multiple meanings
 - Lincoln → president, capital of Nebraska, mutton sheep

Passage Retrieval

- We can already retrieve documents with IR
- But for QA we need to retrieve specific passages with possible answers
 - "Who killed Abraham Lincoln?"
 - Answer is not Wikipedia page on Abraham Lincoln
 - Answer is not paragraph about Lincoln assassination
 - Answer is the passage "President Lincoln was assassinated by John Wilkes Booth."
- Different algorithms possible based on info available to us

Passage Retrieval (example)

• If we have:

Important query keywords

- From NER, word weights (e.g. TFxIDF), etc.
- Inverted index & positional information
 - From IR: Index of corpus words linked to documents with exact position of word in document

Algorithm:

 Window of words in document around each keyword

Passage Retrieval (example)

• If we have:

- Question patterns & correct pattern for query (from question type classification)
- Relevant documents (from IR)

Algorithm:

- Find matching answer patterns in document
- Simple modification of question pattern
 - E.g.: "what {is|are} <noun phrase>" → "<noun phrase> {is|are} <answer>"
- Can be enhanced with grammar rules, synonyms of less important words
 - "Who killed Abraham Lincoln" → "Abraham Lincoln was {killed|assassinated|murdered} by <answer>"

Answer Selection

- Passage retrieval will generate a lot of passages
 - Some will have the same answer written differently
 - Some will have different/conflicting answers
 - Some are irrelevant
- Problem: how to find the answer?
 - Need to rate confidence of individual passage
 - Need to compare/contrast different passages

Answer Selection

- Passage / answer rating
 - Determine system confidence in individual answer
- Does answer fit the question?
 - Important question words (especially named entities) appear in passage
 - Keywords in passage match question semantics (distance in ontology, WordNet)
 - Answer in passage match syntactic role in question
- Does answer fit predicted answer type?
 - But the answer type classifier can make mistakes...
- Geospatial & temporal relevance of answer
 - Useful for cell phone QA, virtual assistants, etc.

Answer Selection

Deal with same answer written differently

- System needs lists of synonyms, abbreviations, idioms, alternate spellings, etc.
- Merge together answers & combine ratings
 - Can serve as democratic rating below
- Deal with different/conflicting answers
 - Answer rating includes answer frequency
 - Democratic: correct answer is most frequently cited in different sources
 - But don't score same source multiple times!
 - Answer rating includes source reliability
 - Elitism: answer from trusted source is correct one

Answer Presentation

- What is returned by QA system?
 - Single best answer vs. list of possible answers
 - Include or not confidence rating of answers
 - Include or not sources of answer
 - Reword answer properly or copy-paste text

Google



are there penguins in australia

Around the world **there** are 17 species of **penguins**. All **penguins** are found in the southern hemisphere (**Australia**, New Zealand, Antarctica, sub-Antarctic islands, South America and Africa). "Little **penguins** are only found in southern **Australia** and New Zealand."

About Little Penguins - Penguin Foundation penguinfoundation.org.au/about-little-penguins/



Answer Presentation

- How to reword answers properly
- Syntactic answer templates
 - Detect question syntax
 - Words' part-of-speech and word order
 - Corresponding answer template filled it from question and answer words
 - Advantage: human-like answers
- Semantic answer templates
 - Tag semantic content in answer
 - E.g. core answer, complementary information, justifications, constraints, etc.
 - Template selects which content to display and where
 - Advantage: can be tailored to devices or needs without losing critical info

Q-Sentence

What was the president of Yugoslavia indicted for?

Q-Template

WP VBD DT NN IN NP VVD IN

Original A-sentence

Judge Arbour's last major act as chief prosecutor was to indict Slobodan Milosevic for crimes against humanity.

A-Template

DT NN IN NP VBD VVN IN NNS IN NN

Reworded A-Sentence

The president of Yugoslavia was indicted for crimes against humanity.

Template 2

if ((mobile device) && (presentation on screen))
then SET STYLE = ¬VERBOSE
 provide qmp:CoreInfo
 provide qmp:Justification

Example of QA Systems: IBM Watson



Example of QA Systems: Ephyra

- Ephyra uses "filters" for answer selection
- Selection and order can be changed



Example of QA Systems: LCC Chaucer-2

- 2nd place in TREC 2007 QA competition
- Series of queries on each topic

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Summary: Natural Language Processing

- Zipf-Mandelbrot Law
- Bag of Words representation
 - Stopwords, word stemming, collocations, TFxIDF
- Classification
 - Bag of Words & cosine distance, Naïve Bayes
- Information retrieval
 - Inverted index, Vector Space Model, Latent Semantic Indexing, text segmentation
- Question Answering
 - Question analysis, passage retrieval, answer rating, answer presentation, examples

Further Readings





FOUNDATIONS OF STATISTICAL NATURAL LANGUAGE PROCESSING

CHRISTOPHER D. MANNING AND HINRICH SCHÜTZE

Artificial Intelligence A Modern Approach Third Edition

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Thank You