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A Randomized Parallel Three-Dimensional Convex Hull Algorithm for Coarse-Grained Multicomputers*

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Abstract. We present a randomized parallel algorithm for constructing the three-dimensional convex hull on a generic p-processor coarse-grained multicomputer with arbitrary interconnection network and n/p local memory per processor, where $n/p \geq p^{2+\varepsilon}$ (for some arbitrarily small $\varepsilon > 0$). For any given set of n points in 3-space, the algorithm computes the three-dimensional convex hull, with high probability, in $O((n\log n)/p)$ local computation time and O(1) communication phases with at most O(n/p) data sent/received by each processor. That is, with high probability, the algorithm computes the three-dimensional convex hull of an arbitrary point set in time $O((n\log n)/p + \Gamma_{n,p})$, where $\Gamma_{n,p}$ denotes the time complexity of one communication phase. The assumption $n/p \geq p^{2+\varepsilon}$ implies a coarse-grained, limited parallelism, model which is applicable to most commercially available multiprocessors.

In the terminology of the BSP model, our algorithm requires, with high probability, O(1) supersteps, synchronization period $L = \Theta((n \log n)/p)$, computation cost $O((n \log n)/p)$, and communication cost O((n/p)g).

^{*} This work was partially supported by the Natural Sciences and Engineering Research Council of Canada and the ESPRIT Basic Research Actions No. 7141 (ALCOM II).

1. Introduction

1.1. The Model

Speedup results for theoretical PRAM algorithms do not necessarily match the speedups observed on real machines [6], [35]. Given sufficient slackness in the number of processors, Valiant's BSP approach [36] simulates PRAM algorithms optimally on distributed memory parallel systems. Valiant points out, however, that one may want to design algorithms that utilize local computations and minimize global operations. The BSP approach requires that g (= local computation speed/router bandwidth) is low, or fixed, even for an increasing number of processors. Gerbessiotis and Valiant [22] describe circumstances where PRAM simulations cannot be performed efficiently, among others if the factor g is high. Unfortunately, this is true for most currently available multiprocessors. The algorithm presented here considers this case for the three-dimensional convex hull problem. Furthermore, as pointed out in [36], the cost of a message also contains a constant overhead cost g. The value of g can be fairly large and the total message overhead cost can have a considerable impact on the speedup observed (see, e.g., [16]).

We therefore use a slightly enhanced version of the BSP model, referred to as a coarse-grained multicomputer model. It is comprised of a set of p processors P_1, \ldots, P_p with O(n/p) local memory per processor and an arbitrary communication network (or shared memory). Algorithms consist of alternating local computation and global communication rounds. Each communication round consists of routing a single h-relation with $h = \tilde{O}(n/p)$, i.e., each processor sends $\tilde{O}(n/p)$ data and receives $\tilde{O}(n/p)$ data. We require that all information sent from a given processor to another processor in one communication round is packed into one message. In the BSP model, a communication round is equivalent to a superstep with communication cost $\tilde{O}((n/p)g)$.

Finding an optimal algorithm in the coarse-grained multicomputer model is equivalent to minimizing the number of communication rounds as well as the total local computation time. This considers all parameters discusssed above that affect the final observed speedup, and it requires no assumption on g. Furthermore, it has been shown that minimizing the number of supersteps also leads to improved portability across different parallel architectures [36], [18]. The above model has been used (explicitly or implictly) in parallel algorithm design for various problems [11], [15]–[17], [19], [27] and has shown very good practical timing results.

1.2. The Three-Dimensional Convex Hull Problem

For the three-dimensional convex hull problem studied in this paper, Amato and Preparata [5] give an almost work optimal deterministic NC^1 algorithm for the CREW PRAM. Chow [13] presented an $O(\log^3 n)$ -time algorithm for the EREW PRAM as well as a polylogarithmic-time algorithm for the cube connected cycles interconnection network with distributed memory. Reif and Sen [34] were the first to give a time and work optimal randomized algorithm for the CREW PRAM. Goodrich *et al.* [25] adapted this algorithm

 $^{^1}X = \tilde{O}(f(n))$ denotes X = O(f(n)) with high probability. More precisely, $X = \tilde{O}(f(n))$ if and only if $(\forall c > c_0 > 1)$ prob $\{X \ge cf(n)\} \le 1/n^{g(c)}$ where c_0 is a fixed constant and g(c) is a polynomial in c with $g(c) \to \infty$ for $c \to \infty$ [31].

for an external memory model with an array of disks and multiple processors. For higherdimensional convex hulls Amato *et al.* [4] presented $O(\log n)$ -time algorithms with $O(n \log n + n^{\lfloor d/2 \rfloor})$ work. Dehne *et al.* [15] proposed an algorithm for the coarse-grained multicomputer model. The time complexity is $\tilde{O}((n \log n)/p + \Gamma_{n,p})$, assuming $n/p \ge p^{\varepsilon}$ ($\varepsilon > 0$) and uniform point distribution. Under this assumption, the algorithm performs only a constant number of communication phases. $\Gamma_{n,p}$ denotes the time complexity of one communication phase with at most $\tilde{O}(n/p)$ data sent/received by each processor.

1.3. The Results

In this paper we improve considerably on previous results in [15] and [17]. We present a randomized parallel algorithm for p-processor coarse-grained multicomputers with local memories of size $n/p \ge p^{2+\varepsilon}$ ($\varepsilon > 0$ arbitrarily small) and arbitrary interconnection network, which computes the convex hull of n arbitrary points in 3-space in time $\tilde{O}((n\log_2 n)/p + \Gamma_{n,p})$.

Every processor spends a total local computation time of $\tilde{O}((n \log n)/p)$. The algorithm uses only $\tilde{O}(1)$ global communication phases with at most $\tilde{O}(n/p)$ data sent/received by each processor.

With respect to [15], the algorithm presented here allows for an arbitrary input distribution. In particular, it allows for inputs created by mapping a two-dimensional Voronoi diagram problem to a three-dimensional convex hull problem [12] (which could not be handled in [15]). The techniques used in this paper are very different from the ones presented in [15] and [17]. The randomization methods presented are very different from the ones previously reported, e.g., in [34] and related papers.

Using Valiant's terminology for the BSP model [36], our algorithm requires, with high probability, only O(1) supersteps, synchronization period $L = \Theta((n \log n)/p)$, computation cost $O((n \log n)/p)$, and communication cost O((n/p)g). It is not obvious how to achieve O(1) supersteps by techniques described in [25] and [34] or divide-and-conquer methods.

2. Outline of the Algorithm

Let S be a set of n points in 3-space (in general position).

Input: Each point of S is stored with exactly one processor. Processor p_i stores n/p points of S.

Output: Processor p_i stores a set E_i of O(n/p) edges of CH(S), $1 \le i \le p$. Each edge of CH(S) is stored with exactly one processor.

1. Computing a sample convex hull

All processors p_i compute globally a random sample $R \subset S$ of size O(n/p). R is broadcast to all processors.

Each processor computes the convex hull CH(R). We can assume without loss of generality that the origin lies in the interior of CH(R) and that each face is a triangle. The points inside CH(R) are removed.

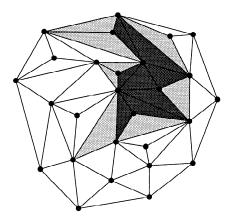


Fig. 1. A set R_i of faces (dark shading), and the set Q_i of faces which are edge-adjacent to faces of R_i (light shading).

2. Computing the generating sets

With each face t of CH(R) associate a cone C_t and a closed half-space H_t . The cone C_t is defined by the origin as apex and rays starting at the origin and passing through the three vertices of t. The closed half-space H_t is defined by the plane through t and does not contain the origin. Let K_t and T_t denote the subsets of points of S that are not inside CH(R) but contained in C_t and H_t , respectively. (Note that K_t and T_t contain the vertices of t.)

Partition the set of faces of CH(R) among the p processors. Let R_i be the subset of faces assigned to processor p_i , $1 \le i \le p$. Details on how the set of faces of CH(R) is partitioned is discussed in Section 3.2. Let Q_i be the subset of faces of CH(R) which are not in R_i but edge adgacent to at least one face of R_i , $1 \le i \le p$. See Figure 1 for an illustration. Define $S_i = (\bigcup_{t \in R_i} K_t) \cup (\bigcup_{t \in Q_i} T_t)$, $1 \le i \le p$.

Processor p_i computes S_i and its convex hull $CH(S_i)$, $1 \le i \le p$. The points inside $CH(S_i)$ are removed.

3. Disconnecting internal edges

Each processor p_i creates two copies of each edge (v, w) of $CH(S_i)$, one with key v and one with key w. All these edges are sorted globally with respect to their endpoints.

For each endpoint v and incident edge (v, w) consider the induced ray starting at v and passing through w. The convex hull $CH(\mathcal{R}_v)$ of the set \mathcal{R}_v of rays induced by the incident edges of v is computed. The incident edges inside $CH(\mathcal{R}_v)$ are removed, and v is removed if v is inside $CH(\mathcal{R}_v)$.

Each remaining edge e is sent back to processor p_i if e was originally part of $CH(S_i)$.

4. Selecting the global convex hull edges in each generating set

(a) Each processor p_i computes for each triangle t of R_i the point of S_i with maximum distance to the plane defined by t. We refer to such a point as a furthest point in S_i .

(b) On each processor p_i let G_i be the subgraph of $CH(S_i)$ induced by those edges that remain after Step 3. Each processor p_i computes the set E_i of edges of G_i reachable in G_i from a furthest point in S_i .

3. Algorithm Details and Analysis

We now discuss in more detail the steps outlined in the above algorithm and give a probablistic analysis. Let $k \in \mathbb{N}$ be a parameter to be determined later.

3.1. Computing a Sample Convex Hull

We need the following:

Lemma 1 [31]. Consider a random variable X with binomial distribution. Let n be the number of trials, each of which is successful with probability q. The expectation of X is E(X) = nq.

- (a) $\operatorname{prob}\{X > cnq\} < e^{-(1/2)(c-1)^2 nq}, \text{ for } c > 1.$
- (b) Let $\beta \geq 4$ (not necessarily fixed). If $\beta^2 nq \geq \alpha \ln(n)$ for some constant $\alpha > 0$, then $X = \tilde{O}(\beta nq)$.

We now outline how to select a random sample $R \subset S$ of size $\tilde{O}(n/p)$. Each processor p_i performs a biased random coin flip for each point of S stored at p_i , such that each point is selected with probability pk/n. From the above lemma it follows that $|R| = \tilde{O}(pk)$ and that if $k = \Omega(\ln(n))$, then the number of points selected by a processor p_i is $\tilde{O}(k)$.

Since we store R at each processor, it is necessary that $|R| \leq \tilde{O}(n/p)$. Hence, we obtain:

Requirement 1. $k \le n/p^2$.

In order to compute the convex hull CH(R) of R we apply any optimal sequential $(O((n/p)\log_2(n/p)))$ time) three-dimensional convex hull algorithm [14], [33].

3.2. Computing the Generating Sets

In Step 2 of the algorithm we compute p subsets S_i of S, such that each edge of the convex hull CH(S) is generated in the computation of a convex hull $CH(S_i)$. Before we give an algorithm for computing the generating sets S_i , we show that in Step 2 a superset of the set of convex hull edges is generated.

Lemma 2. Every edge of CH(S) is an edge of at least one CH(S_i), $1 \le i \le p$.

Proof. Let (v, w) be an edge in CH(S). Consider the line l(v, w) parallel to (v, w) and contained in the plane defined by v, w and the origin such that l(v, w) is tangent to the sample convex hull CH(R). Recall that the origin lies inside CH(R). The line

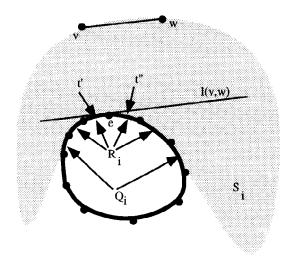


Fig. 2. Two-dimensional illustration of the proof of Lemma 2.

l(v, w) intersects an edge e of CH(R) (if it intersects at a vertex we consider any edge incident to this vertex). See Figure 2 for a two-dimensional illustration (two-dimensional projection). Let t' and t'' be the faces of CH(R) adjacent to e. By construction there exists at least one R_i such that $\{t', t''\} \cap R_i \neq \emptyset$. It follows from the convexity of CH(R) that $\{T_t \cup T_{t''}\} \cap S_i = (\bigcup_{t \in R_i} K_t) \cup (\bigcup_{t \in Q_i} T_t)$. Thus, $\{v, w\} \subset S_i$ and, hence, $\{v, w\}$ is an edge of CH(S_i).

We next discuss how we can construct p generating sets S_i . It is important to establish that no S_i contains more than $\tilde{O}(n/p)$ points of S.

For each face t of CH(R) we can easily compute $|K_t|$, the number of points in a cone C_t , by constructing a subdivision hierarchy [20] for the convex polyhedron CH(R). On this subdivision hierarchy we perform a ray-shooting query for each point $s \in S$, using a ray that starts at the origin and passes through s. Each query can be answered in time $O(\log|CH(R)|)$. The search structure is of size O(|CH(R)|) and can be computed sequentially in time O(|CH(R)|). Each point is contained in exactly one cone. Each processor performs the ray-shooting queries for its point set, and the p results for each of the n/p faces are added after a global sort of the faces.

Lemma 3.

- (a) For any fixed $c \ge 5$, with probability at least $1 1/n^{c-4}$ there exists no triangle t such that $|T_t| \ge c((n \ln(n))/pk)$.
- (b) For any fixed $c \ge 5$, with probability at least $1 1/n^{c-4}$ there exists no triangle t such that $|K_t| \ge c((n \ln(n))/pk)$.

Proof. (a) Consider a fixed $c \ge 5$. For some fixed triangle t, prob{ $|T_i| = j$ } $\le (1 - pk/n)^j$ and

$$\operatorname{prob}\left\{|T_t| \geq c \frac{n \ln(n)}{pk}\right\} \leq \sum_{j=c((n \ln(n))/pk)}^{n} \operatorname{prob}\{|T_t| = j\}$$

$$\leq \left(n - c \frac{n \ln(n)}{pk}\right) \left(1 - \frac{pk}{n}\right)^{c((n \ln(n))/pk)}$$

$$= \left(n - c \frac{n \ln(n)}{pk}\right) \left(\left(1 - \frac{1}{n/pk}\right)^{n/pk}\right)^{c \ln(n)}$$

$$\leq \left(n - c \frac{n \ln(n)}{pk}\right) e^{-c \ln(n)}.$$

As there are less than n^3 possible triangles,

prob{there exists a t with
$$|T_t| \ge c((n \ln(n))/pk)$$
}
 $\le n^3(n - c((n \ln(n))/pk))e^{-c \ln(n)}$.

The claim follows from

$$n^{3}\left(n-c\frac{n\ln(n)}{pk}\right)e^{-c\ln(n)} \leq \frac{1}{n^{c-4}}$$

$$\Leftrightarrow n^{c-4}n^{3}\left(n-c\frac{n\ln(n)}{pk}\right) \leq e^{c\ln(n)}$$

$$\Leftrightarrow (c-4)\ln(n) + 3\ln(n) + \ln\left(n-c\frac{n\ln(n)}{pk}\right) \leq c\ln(n)$$

$$\Leftrightarrow c-4 \leq \frac{1}{\ln(n)}(c\ln(n) - 4\ln(n)) = c-4.$$

(b) Follows immediately, since $K_t \subset T_t$ for each face t of CH(R).

It is easy to partition the faces of CH(R) into p subsets R_i such that, for each R_i , $\sum_{t \in R_i} |K_t| = O(n/p)$. However, a set R_i from such a partitioning can have O(pk) edge-adjacent faces not in R_i and can induce a set S_i containing $O((n/p) \log_2 n)$ points. Our construction of the p sets R_i is based on the following separator theorem:

Lemma 4 [28]. Let G be any m-vertex planar graph. Then the vertices of G can be partitioned in three sets V_1 , U, and V_2 , such that no edge joins a vertex in V_1 with a vertex in V_2 , neither V_1 nor V_2 have total size exceeding 2m/3, and separator U contains no more than $2\sqrt{2}\sqrt{m}/(1-\sqrt{\frac{2}{3}})$ vertices. Furthermore V_1 , U, and V_2 can be determined sequentially in time O(m).

We apply the separator theorem to the dual graph G of CH(R). Define the cost of a node of G corresponding to a face t of CH(R) as $|K_t|$. In order to partition the vertices of G into p subsets W_1, \ldots, W_p , we recursively apply the separator theorem

until the total node cost of every subgraph is at most n/p. This yields less than 2p subproblems and we pair them arbitrarily. Since $|K_t| \leq (cn \ln(n))/pk$, there are at most $l = \log_2 p + \log_2 \ln(n) + \log_2 c$ levels of recursion to reduce the total node cost of each subgraph to n/p. At the ith level, the total number of nodes in (up to 2^{i-1}) separators is bounded by $2^{i-1}d\sqrt{m/1.5^{i-1}}$, where $d = 2\sqrt{2}/(1-\sqrt{\frac{2}{3}})$ and $m = \theta(pk)$. The total number of nodes in all separators obtained in this procedure is bounded by $\sum_{i=1}^{l} 2^{i-1}d\sqrt{m/1.5^{i-1}} = O(p\sqrt{k \ln(n)})$.

Let *B* be the multiset of points contained in sets T_t for faces t corresponding to some separator, counting the multiple presence of points. The total number |B| of such points is bounded by $O(p\sqrt{k \ln(n)})$ times $O((n \log n)/pk)$, which is $O((n \ln(n)\sqrt{\ln(n)})/\sqrt{k})$.

Requirement 2. $k \ge (l \ln(n) \sqrt{\ln(n)})^2$.

Choosing $k \ge (l \ln(n) \sqrt{\ln(n)})^2$, we obtain |B| = O(n/l). A point is in B if and only if it is bounded away from the origin by one of T_t for some t in the separators. The complement of B is the set of points in the convex hull defined by all the half-spaces containing the origin and bounded by a hyperplane T_t , for all t in one of the separators. This convex hull can be constructed sequentially within time $O((n \log n)/p)$. In time $O(\log(|R|))$ we determine whether each point does not belong to B. Then we determine those points of B which correspond to the first level separator. All these points are assigned to S_i , $1 \le 1 \le p$. After removing these points from B, the remaining points in B can be partitioned into two parts: Those in sets T_t where t corresponds to a vertex in V_1 and those in sets T_t where t corresponds to a vertex in V_2 . This partition can be obtained using a Kirkpatrick subdivision hierarchy for the convex hull CONV(R) in $O(\log(|R|))$ time for each point in B. Therefore, for each point in B, the number of operations on each level is $O(\log n)$ and the total number of operations on l levels is $O(l|B|\log n)$, which is $O(n \log n)$. Since each point of B requires the same number of operations, they can be distributed over the p processors so that each processor needs $O((n \log n)/p)$ operations.

As the level of recursion increases from 1 to l, the number of adjacent faces decreases geometrically. The number of faces which are edge-adjacent to a face of CH(R) in R_i is bounded by

$$\sum_{j=1}^{l} \sqrt{(\frac{1}{2})^{j} |\text{CH}(R)|} = \sqrt{|\text{CH}(R)|} \sum_{j=0}^{l} \sqrt{(\frac{1}{2})^{j}} = O(\sqrt{|\text{CH}(R)|}) = \tilde{O}(\sqrt{pk}).$$

Hence, it follows from Lemma 3 that

$$|S_i| = \tilde{O}\left(\frac{n}{p} + \sqrt{pk} \frac{n \ln(n)}{pk}\right).$$

Since it is necessary that S_i is of size $\tilde{O}(n/p)$ we obtain:

Requirement 3. $k \ge p \ln^2(n)$.

Requirements 1–3 hold if $n/p \ge p^{2+\varepsilon}$ for some fixed $\varepsilon > 0$.

3.3. Disconnecting Internal Edges

In Step 3 of the algorithm we start removing from generating sets S_i those edges that are not edges of CH(S).

Amato and Preparata [5] observed that, given a set of rays \mathcal{R}_v in \mathbb{R}^3 which all start at vertex v, the problem of computing their convex hull $CH(\mathcal{R}_v)$ can be reduced to a two-dimensional convex hull computation. The algorithm first determines a plane H_v that does not pass through v and intersects all rays of \mathcal{R}_v . If there is no such plane H_v , then v is inside the convex hull $CH(\mathcal{R}_v) = \mathbb{R}^3$. Hence, v is inside CH(S). If such a plane H_v exists, we can determine the convex hull $CH(\mathcal{R}_v)$ by computing the two-dimensional convex hull of the intersection points of the rays with H_v .

We execute a sequential algorithm if $|\mathcal{R}_v| \leq O(n/p)$. Otherwise, we reduce the problem to solving, in parallel, the following linear programming problem:

Let the plane H_v be defined by equation ax + by + cz = d. It passes through v and all vertices w_i of edges (v, w_i) lie on one side of H_v . Let (x_i, y_i, z_i) be the coordinates of point w_i . This defines the constraints $ax_i + by_i + cz_i \ge d$ for the vertices w_i and the function $f(a, b, c) = ax_v + by_v + cz_v - d$, with (x_v, y_v, z_v) as coordinates of vertex v. This problem can be solved in parallel time $\tilde{O}((n \log_2 n)/p + T_s(n, p))$ with O(1) communication rounds [17].

Lemma 5. *After execution of Step 3 the following hold:*

- (a) No edge of CH(S) is removed.
- (b) The edges that are contained in CH(S) form a single connected component which does not contain any vertex or edge that is not in CH(S).

Proof. (a) Step 2 removes only edges and vertices which lie inside the convex hull $CH(\mathcal{R}_v)$ of rays incident to a vertex v. Clearly, an edge inside $CH(\mathcal{R}_v)$ also lies inside CH(S).

(b) Assume that a vertex not in CH(S) is connected to a vertex v in CH(S) by some path of edges remaining after Step 3. Consider the edge of this path incident to v. This edge is deleted in Step 3 as it lies inside $CH(\mathcal{R}_v)$; a contradiction.

3.4. Selecting the Global Convex Hull Edges in Each Generating Set

Step 4(a) computes for each triangle $t \in R_i$ the point of S_i that has maximal distance to the plane through t. Such a furthest point is clearly in CH(S).

To determine the furthest points for R_i we use a subdivision hierarchy [20] for the convex polyhedron $CH(S_i)$. We perform for each plane through a triangle in R_i a search to determine its tangents to $CH(S_i)$. The size of the search structure is $O(|CH(S_i)|)$ and it can be constructed sequentially in time $O(|CH(S_i)|)$. The sequential search needs logarithmic time for each triangle. Hence, the time complexity of Step 4(a) is $O(|R_i|\log_2|S_i|)$.

In Step 4(b) each processor p_i computes the union of those connected components of G_i that contain a furthest point in S_i . The sequential time complexity is $O(|G_i|) = O(|S_i|)$.

Lemma 6.

- (a) Every edge of CH(S) is contained in at least one set E_i , $1 \le i \le p$.
- (b) No set E_i , $1 \le i \le p$, contains an edge that is not in CH(S).

Proof. (a) Consider an edge (v, w) of CH(S). By Lemma 2, there exists a set S_i such that (v, w) is in CH(S_i). From Lemma 5 it follows that (v, w) is not deleted in Step 3. Due to the definition of R_i , v is contained in H_t for some $t \in R_i$, and CH(S) \cap $H_t \subset S_i$. By Lemma 5 and the fact that H_t is a half-space it follows that the edges incident to CH(S) \cap H_t form a connected component. Furthermore, a point in S_i with maximum distance to the plane defined by t is in CH(S) \cap H_t . Hence, $(v, w) \in E_i$.

(b) Follows immediately from Lemma 5.

4. Summary

Lemmas 2, 5, and 6 imply the following:

Theorem 1. The convex hull of n points in 3-space can be computed on a p-processor coarse-grained multicomputer with $n/p \ge p^{2+\varepsilon}$, $\varepsilon > 0$, in time $\tilde{O}((n \log_2 n)/p + \Gamma_{n,p})$.

By standard transformation of two-dimensional Voronoi diagram construction to threedimensional convex hull computation [12] we obtain:

Corollary 1. The Voronoi diagram of a set of n points in the Euclidean plane can be computed on a p-processor coarse-grained multicomputer with $n/p \ge p^{2+\varepsilon}$, $\varepsilon > 0$, in time $\tilde{O}((n\log_2 n)/p + \Gamma_{n,p})$.

Note that in order to compute the Voronoi diagram, the algorithm can be simplified. We do not need Step 4 of the algorithm, as the Voronoi diagram problem is equivalent to a convex hull problem where all points are vertices of the convex hull. All internal edges which are produced in Step 2 of the algorithm are removed in Step 3.

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Received October 30, 1995, and in revised form April 15, 1996, and in final form September 17, 1996.