

Permutations: An ordered sequence of elements of a finite set.

## Binomial Coefficients:

$$\binom{n}{k} = \# k\text{-element subsets of an } n\text{-element set}$$

## Binomial Newton Theorem :

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

## Combinatorial Proofs:

$$(a) \quad \binom{n}{k} = \binom{n}{n-k} \quad \forall \quad 0 \leq k \leq n.$$

$$(b) \text{ Pascal's : } \binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$$

$$(c) \text{ Vandermonde's : } \sum_{k=0}^r \binom{m}{k} \binom{n}{r-k} = \binom{m+n}{r}$$

## PASCAL'S TRIANGLE

1  
1 1  
1 2 1  
1 3 3 1  
1 4 6 4 1  
1 5 10 10 5 1

Combinatorial proofs : Use counting arguments .

1. +  $0 \leq k \leq n$ ,

$$\binom{n}{k} = \binom{n}{n-k}$$

Proof:  $\binom{n}{k} = \frac{n!}{k!(n-k)!}$  and  $\binom{n}{n-k} = \frac{n!}{(n-k)!(n-n+k)!}$

$$= \frac{n!}{k!(n-k)!} = \binom{n}{k}$$

Combinatorial proof: Let  $S$  be a set of  $n$ -elements

(I)  $\binom{n}{k} = \#$  of ways to choose  $k$  elements from  $S$ .

= # of ways to NOT choose  $(n-k)$ -elements from  $S$

$$= \binom{n}{n-k}$$

(II)  $\binom{n}{k} = \#$  of bit strings of length  $n$  consisting of exactly  $k$  1's.

= # of bit strings of length  $n$  consisting of exactly  $n-k$  0's.

$$= \binom{n}{n-k}.$$

## Pascal's Identity

$$\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$$

Pf: Let  $S$  be a set of  $n+1$  elements

$\binom{n+1}{k} = \# \text{ sets of size } k \text{ from } S.$

Fix an element  $x \in S$ .

Let  $T = S \setminus \{x\}$ ;  $T$  is same as  $S$  except that  $x \notin T$ .

Note that  $|T| = n$ .

Consider any  $k$  element subset  $A$  of  $S$ .

Either

- it does not contain  $x$

[Then it is a  $k$ -element subset of  $T$ ,

and there are  $\binom{n}{k}$  such sets]

- it contains  $x$

[Suppose  $A \subseteq S$ ,  $|A|=k$  and  $x \in A$ .

1)

Consider  $B = A \setminus \{x\}$ .

Note that  $B \subseteq T$  and  $|B|=k-1$ .

Also, consider any subset  $B \subseteq T$  such that  $|B|=k-1$ .

Construct  $A = B \cup \{x\}$ .

Note that  $A \subseteq S$ ,  $|A|=k$ .

Thus, # k-elements subset of  $S$  containing  $x$

$$\equiv \# k-1 \text{ elements subset of } T.$$

Since #  $k-1$  elements subset of  $T = \binom{n}{k-1}$ , we

Obtain that

#  $k$ -elements subsets of  $S$

$$\equiv \binom{n}{k} + \binom{n}{k-1}$$

$\nearrow$   
# subsets  
Not containing  $x$

$\uparrow$  # subsets  
Containing  $x$

$$= \binom{n+1}{k}$$

## Vandermonde's Identity

For  $m \geq 0, n \geq 0, m \geq r \geq 0$  and  $n \geq r$

$$\binom{m+n}{r} = \sum_{k=0}^r \binom{m}{k} \binom{n}{r-k}$$

pf: Consider a set  $S$  with  $m+n$  elements.

$$\binom{m+n}{r} = \# \text{ } r\text{-subsets of } S. \quad \leftarrow \begin{matrix} \text{Two ways} \\ \swarrow \quad \searrow \end{matrix}$$

Let us count the number of  $r$ -subsets of  $S$  in an alternate way:

Let  $A \subseteq S, B \subseteq S$  such that  $A \cup B = S$  and  $A \cap B = \emptyset$ ;  
i.e.  $A$  and  $B$  partition  $S$ .

Consider a  $r$ -subset of  $S$ .

It may contain a  $k$ -subset of  $A$  and  $(r-k)$ -subset from  $B$ .

Define  $N_k$  for  $0 \leq k \leq r$  as

Number of  $r$ -subsets of  $S$  that contain exactly  $k$ -elements from  $A$  (and  $r-k$  elements from  $B$ )

Note that  $\sum_{k=0}^r N_k = \binom{m+n}{r}$

How do we compute  $N_k$ ?

Task1 - choose  $k$ -elements of A

Task2 - choose  $(r-k)$ -elements of B

By product rule,

$$N_k = (\# \text{ways to do task 1}) * (\# \text{ways to do task 2}) \\ = \binom{m}{k} \binom{n}{r-k}$$

as  $|A|=m$  and  $|B|=n$ .

Thus

$$\binom{m+n}{r} = \sum_{k=0}^r \binom{m}{k} \binom{n}{r-k}$$

$$\text{Corollary } \binom{2n}{n} = \sum_{k=0}^n \binom{n}{k}^2$$

Pf: Set  $m=n=r$  in Vandermonde's Identity.

$$\binom{m+n}{r} = \binom{2n}{n} \\ \sum_{k=0}^r \binom{m}{k} \binom{n}{r-k} = \sum_{k=0}^n \binom{n}{k} \binom{n}{n-k} = \binom{n}{k} \\ = \sum_{k=0}^n \binom{n}{k} \binom{n}{k} = \sum_{k=0}^n \binom{n}{k}^2$$

## PASCAL'S TRIANGLE

Recall Pascals Identity

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

and  $\binom{n}{0} = 1$  ;  $\binom{n}{n} = 1$ .

Consider evaluation of  $\binom{4}{3}$

$$\binom{4}{3} = \binom{3}{2} + \binom{3}{3}$$

$$= \binom{2}{1} + \binom{2}{2} + \binom{3}{3}$$

$$= \binom{1}{0} + \binom{1}{1} + \binom{2}{2} + \binom{3}{3}$$

$$= 1 + 1 + 1 + 1 .$$

Consider evaluation of  $\binom{6}{3}$

$$\binom{6}{3} = \binom{5}{2} + \binom{5}{3}$$

$$= \binom{4}{1} + \binom{4}{2} + \binom{4}{2} + \binom{4}{3}$$

$$= \binom{3}{0} + \binom{3}{1} + \binom{3}{1} + \binom{3}{2} + \binom{3}{1} + \binom{3}{2} + \binom{3}{2} + \binom{3}{3}$$

$$= \binom{3}{0} + 2\binom{2}{0} + 2\binom{2}{1} + \binom{2}{1} + \binom{2}{2} + \binom{2}{0} + \binom{2}{1} + 2\binom{2}{1} \\ + 2\binom{2}{2} + \binom{3}{3}$$

$$= \binom{3}{0} + 2\binom{2}{0} + 3\binom{2}{1} + \binom{2}{2} + \binom{2}{0} + 3\binom{2}{1} + 2\binom{2}{2} + \binom{3}{3}$$

$$= 1 + 2 + 3\binom{1}{0} + 3\binom{1}{1} + 1 + 1 + 3\binom{1}{0} + 3\binom{1}{1} + 2 + 1$$

$$= 1 + 2 + 3 + 3 + 1 + 1 + 3 + 3 + 2 + 1 = 20$$

Arrange the binomial coefficients in a triangle  
where the  $n^{\text{th}}$  row contains the values

$$\binom{n}{0}, \binom{n}{1}, \binom{n}{2}, \dots, \binom{n}{n}.$$

## Example:



## Observations:

(1)

- Each value along the boundary of Pascal's  $\Delta$  is 1.



2. Each value in the interior is sum of the two values above it

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

3. The values in the  $n^{\text{th}}$  row are equal to the coefficients in Newton Binomial Thm.

$$(x+y)^5 = x^5 + 5x^4y + 10x^3y^2 + 10x^2y^3 + 5xy^4 + y^5$$

Values in  $5^{\text{th}}$  row are  $\binom{5}{0}, \binom{5}{1}, \binom{5}{2}, \binom{5}{3}, \binom{5}{4}, \binom{5}{5}$

4. Sum of elements of the  $i^{\text{th}}$  row equals  $2^i$

$$\text{e.g. } 5^{\text{th}} \text{ row} \equiv 1+5+10+10+5+1 = 32 = 2^5$$

5. Each row is symmetric.

$$5^{\text{th}} \text{ row: } 1 \ 5 \ 10 \ 10 \ 5 \ 1 \ \text{ as } \binom{n}{k} = \binom{n}{n-k}$$

(6)

6. Sum of squares of all values in  $n^{\text{th}}$  row equals the middle value in the  $2n^{\text{-th}}$  row.

$$2^{\text{nd}} \text{ row: } 1 \ 2 \ 1 \ \text{ Sum of Squares: } 1+4+1=6 \xrightarrow{\text{Middle value in } 4^{\text{th}} \text{ row}}$$

How many different words can be made from

(a) WAWA

WAWA, WWAA, WAAW

AWWA, AAWW, AAWW

} 6 in all.

Product Rule:

procedure  $\rightarrow$  write down letters occurring the word

Task 1  $\rightarrow$  choose two positions out of 4 and write down W in those positions.

Task 2  $\rightarrow$  In the remaining two positions write down in A.

$$N_1 = \binom{4}{2}$$

$$N_2 = 1$$

Thus # words from WAWA

= # ways to execute the procedure

$$= N_1 N_2 = \binom{4}{2} \cdot 1 = 6$$

(b) OTTAWA

Task 1: choose two positions out of 6 for A

$$N_1 = \binom{6}{2}$$

Task 2: choose two positions out of remaining 4 for T.

$$N_2 = \binom{4}{2}$$

Task 3: choose a position out of remaining 2 for O.

$$N_3 = \binom{2}{1}$$

Task 4: In the remaining position write down W.

$$N_4 = 1$$

Thus # words from OTTAWA

$$\begin{aligned} &= \binom{6}{2} \binom{4}{2} \binom{2}{1} 1 = 15 * 6 * 2 \\ &= 180. \end{aligned}$$

Question:

How many positive integral solutions are

there for  $x_1 + x_2 + x_3 = 10$ ,

where each  $x_i \geq 0$ .

Idea: Consider a solution for  $x_1 + x_2 + x_3 = 10$ .

Example:  $x_1 = 3; x_2 = 2; x_3 = 5$

Consider a binary string of length 12  
consisting of exactly two 1s.

0 0 0 1 0 0 1 0 0 0 0 0

Leading 0s will correspond to value of  $x_1$ .

# zero's between two 1's will correspond to  $x_2$

and trailing 0s will correspond to value of  $x_3$ .

Consider the two sets

$$A = \left\{ (x_1, x_2, x_3) : x_1 \geq 0, x_2 \geq 0, x_3 \geq 0 \text{ and } x_1 + x_2 + x_3 = 10 \right\}$$

$B = \left\{ \text{Set of binary strings of length 12 consisting of exactly two 1s.} \right\}$

$f: A \rightarrow B$  is defined as follows:

Let  $(x_1, x_2, x_3) \in A$

then  $f(x_1, x_2, x_3)$  is a binary string of length 12 consisting of

$x_1 \# 9$  0's; followed by a 1;

followed by  $x_2 \# 9$  0's; followed by a 1;

followed by  $x_3 \# 9$  0's.

$$\text{e.g.: } f(3, 4, 3) = (000100001000)$$

$$f(3, 0, 7) = (000110000000)$$

Observe:  $f$  is 1 to 1.

if  $(x_1, x_2, x_3) \neq (x'_1, x'_2, x'_3)$  then

$$f(x_1, x_2, x_3) \neq f(x'_1, x'_2, x'_3)$$

as at least one of  $x_i \neq x'_i$  and thus the binary strings are not the same.

$f$  is onto?:

To show this we need to prove that for any bit string of length 12 with exactly two 1s, there is  $(x_1, x_2, x_3)$  such that

$$x_1 + x_2 + x_3 = 10 \text{ and } x_1 \geq 0, x_2 \geq 0, x_3 \geq 0.$$

That is obvious from the interpretation of #0s before first 1, #0s between first & second 1, and 'totaling 0'.

Claim:  $k \geq 1, n \geq 0, x_1 \geq 0, x_2 \geq 0, \dots, x_k \geq 0$

# Solutions of equation  $x_1 + x_2 + \dots + x_k = n$

is  $\binom{n+k-1}{k-1}$ .

# Solution to inequality  $x_1 + x_2 + \dots + x_k \leq n$

is  $\binom{n+k}{k}$ .

Consider for example  $x_1 + x_2 + x_3 \leq 10$

$$\Leftrightarrow x_1 + x_2 + x_3 + s = 10 \quad \text{where } s = 10 - (x_1 + x_2 + x_3) \text{ and } s \geq 0.$$

It is the same problem as before except that now we need to choose values of 4 variables. □