

Proof by Induction

15'

Claim: Let L be a list of n -numbers, where $n \geq 0$ and
 $n = 2^k$ for $k \geq 0$.
Mergesort(L, n) requires kn comparisons.

Pf: Note that $T(0) = 1$, $T(1) = 0$ and
for $n \geq 2$,

$$T(n) \leq 2T\left(\frac{n}{2}\right) + n.$$

We will prove by Induction on n that

$$T(n) = kn = n \log_2 n. \quad [\text{Note that } n = 2^k \Leftrightarrow k = \log_2 n]$$

Base Case: For $n=0$ and $n=1$,

$$T(0) = k \cdot 0 = 0$$

$$T(1) = k \cdot 1 = k = 0 \text{ as } 1 = 2^0$$

I.H.: Let $n \geq 2$ and assume that

$$T\left(\frac{n}{2}\right) \leq \frac{n}{2} \log_2\left(\frac{n}{2}\right)$$

then

$$T(n) \leq 2T\left(\frac{n}{2}\right) + n$$

apply I.H. for $T\left(\frac{n}{2}\right) \leq \frac{n}{2} \log_2\left(\frac{n}{2}\right)$ as $\frac{n}{2} \leq n$.

We obtain $T(n) \leq 2T\left(\frac{n}{2}\right) + n$

$$\leq 2 \left[\frac{n}{2} \log_2\left(\frac{n}{2}\right) \right] + n$$

$$= n \log_2 \left(\frac{n}{2} \right) + n$$

$$= n \left[\log_2 n - \log_2 2 \right] + n$$

$$= n \log_2 n - n + n \quad \text{as } \log_2 2 = 1.$$

Thus

$$T(n) \leq n \log_2 n = nk. \quad \blacksquare$$

So far we have counted only number of comparisons in mergesort and obtained

$$T(n) = 0 \text{ for } n=0, 1$$

$$T(n) = n \log n \text{ for } n = 2^k, k \geq 0.$$

If we count all the operations performed by

Mergesort including forming lists L_1, L_2 , remove, append etc.,

all of them can be bounded in terms of

number of comparisons

\Rightarrow If $n = 2^k$, $k \geq 0$, the running time of Mergesort(L, n) is $O(n \log n)$.

For general values of n , the recurrence is

$$T(n) = O \cancel{n \log n} \text{ for } n=0, 1 \dots$$

$$T(n) \leq T(\lfloor \frac{n}{2} \rfloor) + T(\lceil \frac{n}{2} \rceil) + n \quad n \geq 2.$$

And in this case also by induction

it can be shown $T(n) = O(n \log n)$.

Theorem: For any list L of n numbers, the running time of algorithm Mergesort(L, n) is $O(n \log n)$. \blacksquare

Set of Full Binary Trees

18.

A FBT is defined recursively as

BASIS STEP: There is a FBT consisting only of single vertex r .

Recursive Step: If T_1 and T_2 are disjoint FBTs, then there is a FBT $T_1 \circ T_2$ consisting of root r together with edges connecting the root to each of the roots of the left tree T_1 and the right tree T_2 .

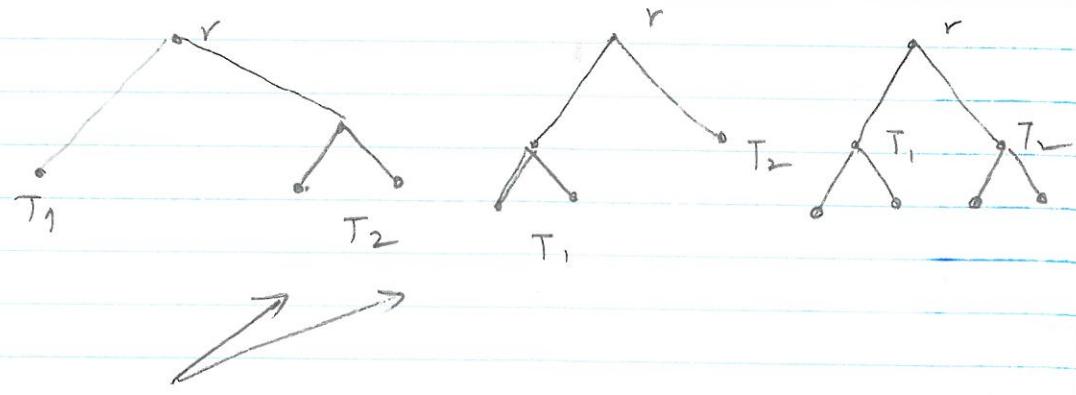
BASIS :

• r

Step 1 :



Step 2:



Trees obtained by applying recursion twice.

Define

$$h(T) = \text{height of FBT } T.$$

BASIS STEP : the height of FBT consisting of only a root r is $h(T)=0$

Recursive Step: If $T_1 \circ T_2$ are FBTs, then

$$\text{FBT } T = T_1 \circ T_2 \text{ has } h(T) = 1 + \max\{h(T_1), h(T_2)\}$$

Define

$$n(T) = \# \text{ vertices in FBT } T.$$

Basis Step: $n(T)$ of FBT consisting of only a root

$$r \text{ is } n(T) = 1.$$

Recursive Step: If $T_1 \circ T_2$ are FBTs, then

vertices in FBT $T = T_1 \circ T_2$ is

$$n(T) = 1 + n(T_1) + n(T_2).$$

CLAIM: If T is a FBT then

$$n(T) \leq 2^{h(T)+1} - 1$$

Proof: Induction on ?

BASIS: For a FBT consisting of a single node

$$\begin{aligned} r, \quad n(T) &= 1 \leq 2^{h(T)+1} - 1 \\ &= 2^0 + 1 - 1 = 2 - 1 = 1 \end{aligned}$$

Recursive Step:

Let $n \geq 2$, assume it is true for all trees with at most $n-1$ nodes.

Let T_1 and T_2 be trees with at most $n-1$ nodes

$$\text{and we assume } n(T_1) \leq 2^{h(T_1)+1} - 1$$

$$n(T_2) = 2^{h(T_2)+1} - 1$$

Then, for $T = T_1 \circ T_2$

$$\begin{aligned} n(T) &= n(T_1) + n(T_2) + 1 && \text{(Definition)} \\ &\leq \left(2^{h(T_1)+1} - 1 \right) + \left(2^{h(T_2)+1} - 1 \right) + 1 && \text{(by I. n)} \\ &= 2^{h(T_1)+1} + 2^{h(T_2)+1} - 1 \end{aligned}$$

$$\leq 2^{\max\{h(T_1), h(T_2)\}+1} - 1$$

$$= 2 \cdot 2^{h(T)} - 1$$

$$\text{as } h(T) = 1 + \max\{h(T_1), h(T_2)\}$$

$$= 2^{h(T)+1} - 1$$

Tower of Hanoi

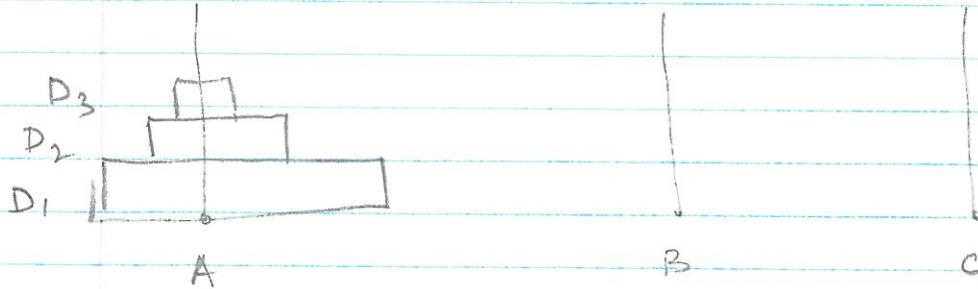
- n -disco of different radii
- 3 pegs : A, B, C.

Initially : All disco arranged in an increasing order of radii in Peg A.

Finally : All discs arranged in an increasing order of radii in Peg B.

Each move consists of moving a disc from one peg to another, ensuring that never a large disk is placed on top of small one.

Here is one way to solve for $n=3$.

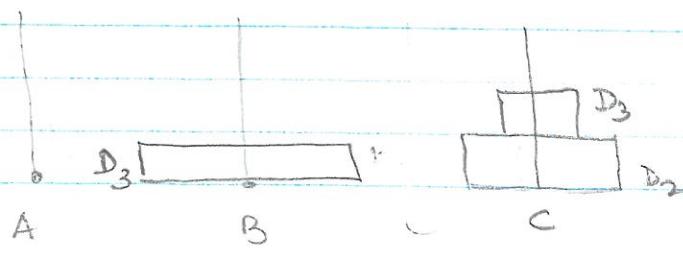


Move 1: Move D₃ to B.

2. Move D₂ to C

3. Move D₃ to C

4. Move D₁ to B



After Move 4, we have a similar problem.

We have to move $n=2$ disks from C to B.

Move 5 : Move D_3 to A

Move 6 : Move D_2 to B

Move 7 : Move D_3 to B.

The total # of moves for $n=3$ disks

$$M_3 = 7$$

Consider the following strategy for $n \geq 2$ disks.

Step 1 : Move the topmost $n-1$ disks from Peg A to Peg C, recursively.

Step 2 : Move the bottommost disk from Peg A to Peg B in one step.

Step 3 : Move the $n-1$ disks from Peg C to Peg B, recursively.

Then For $n \geq 2$, $M_n = M_{n-1} + 1 + M_{n-1}$

$$M_n = 2M_{n-1} + 1.$$

Claim: $M_n = 2^n - 1$ for $n \geq 1$.

Pf: Base case: $n=1$ $M_1 = 1$ as one more is enough.

I.H, Let $n \geq 2$ and assume that

$$M_{n-1} = 2^{n-1} - 1$$

Then,

$$\begin{aligned} M_n &= 2M_{n-1} + 1 \\ &= 2\left(2^{n-1} - 1\right) + 1 \\ &= 2^n - 1. \quad \blacksquare \end{aligned}$$