

A more formal approach using languages

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The language of a decision problem is the set of all inputs for which the answer is YES.
encoded as finite strings

HAMCYCLE = { G : G is a graph that contains a Hamilton cycle }

TSP = { (C, K) : C is an integer $n \times n$ matrix,
 K is an integer,
 \exists permutation π of $1, \dots, n$ such
that
$$\sum_{i=1}^{n-1} C_{\pi_i, \pi_{i+1}} + C_{\pi_n, \pi_1} \leq K$$
 }

SUBSET SUM

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$= \{ (S, t) : S \text{ is a set of integers,}$
 $t \text{ is an integer,}$

$$\left. \exists S' \subseteq S : \sum_{x \in S'} x = t \right\}$$

CLIQUE = $\{ (G, K) : G \text{ is a graph,}$

$K \text{ is an integer,}$

$G \text{ contains a clique with}$
 $K \text{ vertices } \}$

Definition of the class \mathcal{P} :

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The language L is in \mathcal{P} , if the following is true:

There exists an algorithm A and a constant $c \geq 1$, such that for any input x :

* if $x \in L$, then $A(x)$ returns YES

* if $x \notin L$, then $A(x)$ returns NO

* the running time of $A(x)$ is $O(n^c)$, where n is the length of x .

Definition of the class NP:

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The language L is in NP, if the following is true:

There exists an algorithm V and a constant $c \geq 1$,
↳ verification algorithm,
takes 2 input parameters

such that for any input x :

$x \in L \Leftrightarrow$ there exists a certificate y such that

$$|y| = O(|x|^c),$$

$V(x, y)$ returns YES,

and

the running time of $V(x, y)$ is
polynomial in the length of x .

NP stands for non-deterministic polynomial time.

We show that

HAMCYCLE = $\{G : G \text{ is a graph that has a Hamilton cycle}\}$

is in NP:

Verification algorithm V takes as input

* graph G

* certificate v_1, \dots, v_n

Step 1: check if $\{v_1, \dots, v_n\} = \text{vertex set of } G$.

Step 2: check if $|\{v_1, \dots, v_n\}| = n$.

Step 3: check if $\{v_1, v_2\}, \{v_2, v_3\}, \dots, \{v_{n-1}, v_n\}, \{v_n, v_1\}$ are edges in G .

Step 4: if Steps 1-3 were successful, return YES; otherwise, return NO.

G is in HAMCYCLE

$\Leftrightarrow \exists$ permutation v_1, \dots, v_n of G 's vertex set such that $\{v_1, v_2\}, \{v_2, v_3\}, \dots, \{v_{n-1}, v_n\}, \{v_n, v_1\}$ are edges in G

$\Leftrightarrow \exists$ certificate (v_1, \dots, v_n) such that $V(G, (v_1, \dots, v_n))$ returns YES.

The length of the certificate

= # vertices in $G = O(\text{size of } G)$.

Running time of V : $O((\text{size of } G)^2)$.

Claim: $P \subseteq NP$.

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Proof: Let L be an arbitrary language in P .

By definition, there is an algorithm A such that for any input x :

* $x \in L \Leftrightarrow A(x)$ returns YES

* running time of $A(x)$ is polynomial in the length of x .

We have to show that L is in NP .

The verification algorithm V takes as input

* the input x for L ,

* ~~the input~~ certificate y .

$V(x, y)$ does the following: run $A(x)$.

(thus, V ignores y)

$x \in L \Leftrightarrow A(x)$ returns YES

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$\Leftrightarrow \forall (x, \text{empty string } y)$ returns YES

$\Leftrightarrow \exists$ certificate y such that

length of $y = O(\text{polynomial in the length of } x)$,

$V(x, y)$ returns YES

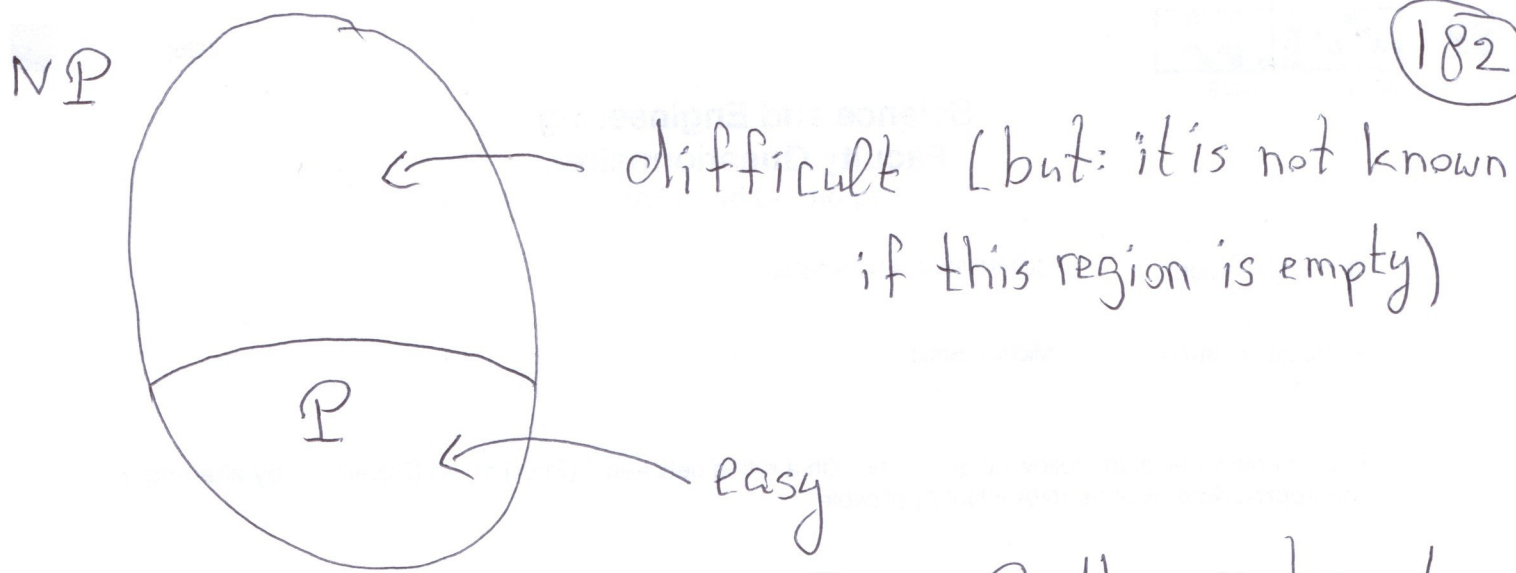
and running time of $V(x, y) =$

running time of $A(x) = \text{polynomial}$
in the length of x .

Therefore, L is in NP. \square

Big Question: Is $P = NP$ or $P \neq NP$?

Most people believe that $P \neq NP$.



If we want to prove that $P \neq NP$, then we have to show that there exists a language L such that

- * $L \in NP$
- * $L \notin P$

Such an L must be "difficult".

\Rightarrow Look at the "most difficult" problems in NP .

what does this mean?

how to compare problems by their difficulty?

\Rightarrow reductions

Definition of reduction

Let L and L' be languages.

$$L \leq_{\mathbb{P}} L' \quad [L \text{ is polynomial-time reducible to } L', \\ L' \text{ is at least as difficult as } L]$$

if the following is true:

There exists a function f such that

① f maps inputs to L to inputs to L'

② for every input x to L :

$$x \in L \iff f(x) \in L'$$

③ for every input x to L :

$f(x)$ can be computed in time that is polynomial in the length of x .